



Entry Summary Filing and Response Guide

This chapter provides record formats and processing instructions to submit entry summary and related information to ACE.

The reader should be advised that this technical document is considered final. However, the document retains the DRAFT designation in the footer until such time that a publication number from the CBP Office of Public Affairs has been assigned to the new “ACE ABI CATAIR” publication. For your information, subsequent revisions to this document will be controlled through the official CBP document amendment process.



Table of Contents

Entry Summary Filing and Response Guide..... 1

Introduction..... 4

Record Layout Key..... 5

Input Record Structure Maps..... 7

 Input Structure Map Submission Notes..... 7

 Block Control Input Structure Map..... 7

 ES Transaction Input Structure Map..... 8

 Entry Summary LINE ITEM Grouping Input Structure Map..... 9

 PGA Grouping Input Structure Map..... 10

Entry Summary Filing INPUT Record Layouts..... 11

Entry Summary Filing - Usage Notes..... 45

 a)Entry Summary Filing Actions..... 45

 b)Data Elements Required in Every Filing Scenario..... 45

 c)Header/Totals Data Elements Required to Establish or Correct an Entry Summary..... 46

 d)Line Data Elements Required to Establish or Correct an Entry Summary..... 46

 e)Entry Type Data Reporting Considerations..... 47

 f)Party Reporting 48

 Importer of Record 48

 Ultimate Consignee 49

 Designated Notify (4811) Party 49

 Manufacturer/Supplier 49

 g)Transportation Data Reporting 50

 Vessel Shipments 50

 Border Water-Borne Shipments 51

 Rail Shipments 51

 Truck Shipments 52

 Air Shipments 53

 All Other Shipments (Known Modes) 54

 MOT Not Required/Not Reported 54

 h)Reporting Cargo Manifest Detail..... 55

 In-Bond/In-Transit Number 55

 Master Bill Number / Issuer..... 56

 House Bill Number / Issuer..... 56

 Sub-House Bill Number..... 56

 Vessel Shipments 56

 Rail Shipments 57

 Truck & Border Water Borne Shipments 58

 Air Shipments 60

 All Other Shipments 61

 Further In-Bond/In-Transit Reporting Guidelines 62

 i)Bond & Surety Reporting 62

 Continuous Bond Claim 62

 Single Entry Bond Claim 63

 No Bond Required Claim 64

 j)Basic Article Classification and Tariff Considerations..... 64

 Determining the Relevant Classification Date 64

 Reporting Value, Quantity, & Unit of Measure 65

 Classifying a Temporary Article Imported Under a Bond (TIB) 65

 k)Reporting Article Sets 65

 l)Duty Reporting 66

 m)Articles Exempt from a Merchandise Processing Fee (MPF) 66



n)Formal Entry Article Merchandise Processing Fee (MPF)	67
o)Article Harbor Maintenance Fee (HMF)	67
p)Dutiable Mail Fee	68
q)Informal Entry Merchandise Processing Fee (MPF)	68
r)Manual Entry Surcharge	68
s)License, Certificate, and Permit Reporting	69
t)Electronic Invoice Processing (EIP) Program Reporting Requirements	72
u)Non-EIP Program Classification Ruling Reporting	73
v)Article Charges	73
w)Determining the Formal Entry Merchandise Processing Fee (MPF) Min / Max.....	73
x)Grand Total Reporting.....	74
y)Payment Intent & Statements.....	74
Individual Payment (Non-Statement).....	74
Daily Statement.....	74
Periodic Monthly Statement (Broker).....	75
Periodic Monthly Statement (Importer).....	75
Correcting a 'Rejected' Entry Summary Once Payment Has Been Made.....	76
z)Consolidating Releases Under One Entry Summary.....	76
aa)Claiming Future Reconciliation.....	77
bb)Warehouse & Warehouse Withdrawal Entry Summaries.....	77
Making a Warehouse Entry Claim	77
Making a Warehouse Withdrawal Claim.....	77
cc)Preemptively Overriding a Census Warning.....	78
dd)Reporting PGA Form Data.....	78
Articles Subject to FCC Regulation.....	78
Articles Subject to FDA Regulation.....	78
Articles Subject to DOT/NHTSA Regulation.....	79
ee)Certifying for Cargo Release.....	80
Output Record Structure Map.....	82
Entry Summary Response OUTPUT Record Layout.....	82
Returned Entry Summary Reference Data.....	86
Entry Summary Response - Usage Notes.....	94
a)Entry Summary Batch Syntax Evaluation.....	94
Response to an 'Unrecognizable' Entry Summary Transaction	94
Response to a Syntactically Incorrect Batch, Block, or Entry Summary Transaction	94
b)Entry Summary Final Dispositions.....	95
c)Response to a 'Recognizable' Entry Summary Transaction Component.....	96
AE Table 1 – Check Digit Computation Formula.....	98
AE Table 2 – Entry Type Codes.....	100
AE Table 3 – Mode of Transportation Codes.....	100
AE Table 4 – Surety Waiver Codes.....	101
AE Table 5 – Canadian Province Codes.....	101
AE Table 6 – User Fee Accounting Class Codes.....	102
AE Table 7 – Missing Document Codes.....	102
AE Table 8 – Trade Agreement / Special Program Claim Codes.....	103
AE Table 9 – Exception Case Articles (Categorized).....	105
AE Table 10 – Census Condition Override Codes.....	105
AE Table 11 – Census Warning Condition / Census Override Combinations.....	106
AE Table 12 – FCC Import Condition Codes.....	107



Introduction

This *guide* is intended to provide the conventional trade interface information for the revised ACE-version of an Entry Summary filing. This document **completely supersedes** the Entry Summary chapter of the existing ACS CATAIR.

Presented in this document are the Entry Summary input transaction *proprietary* records used by the importing trade to establish and maintain an electronic Entry Summary and the output transaction *proprietary* records returned in response.

Input records are those that are used to report specific business data. The input record layouts describe the mandatory, conditional, and optional data elements required by the automated EDI interface. While data element specific directions for input filing are noted throughout, following the input format definitions is a sub-section that provides various clarifications regarding an Entry Summary filing.

The output record layouts describe a response to a filing as generated and returned by the automated EDI interface. Following the output format definitions is a sub-section that provides various clarifications regarding an Entry Summary response.



Record Layout Key

The following key describes the columns of the record layout definitions that follow.

Data Element:

Contains the name of the reported data field.

Length:

Indicates the maximum, allowed length of the reported data element.

Class:

Defines the domain of values accepted for the data element. The class definition represents the accepted domain to be used in **all** filing scenarios. Class codes are as follows:

S = Space ONLY.

A = Alphabetic Data. Consists of characters A through Z (uppercase ONLY).

N = Numeric Data Only. Consists of numerals 0 through 9.

(S)N = Numeric Data Variation. May contain '**Numeric Data Only**' **or** may consist of one or more spaces followed by numerals 0 through 9. Must consist of at least one right justified numeral. This variation of numeric class may be used for amounts, rates, and counts (where specified).

AN = Alphanumeric Data. Consists of characters A through Z (uppercase ONLY), numerals 0 through 9, and space.

D = Known Date. Consists of numerals 0 through 9 (format MMDDYY).

X = Special Data. Consists of characters A through Z (uppercase ONLY), numerals 0 through 9, space, and any other character found on a standard keyboard. The following characters are accepted:

! @ # \$ % ^ & * () - _ = + [{] \ | ; : ' " , < . > / ? ` ~ ¢

Generally, the numeric data class is reserved for a data element used as an integer. It may also include a data element used as a sequencer (e.g., a line number).

Generally, any identifier or code is classed as alphanumeric. Note that this would include an identifier or code that may be required to be reported as all numerals.

Position:

Indicates the beginning and ending position, respectively, of the reported data element within the 80-character record.

Designation:

Indicates the reporting requirement as follows:

M = Mandatory. A value conforming to the listed class is required in all filing scenarios.

C = Conditional. A value conforming to the listed class may or may not be required for a particular filing scenario. The usage notes will describe the specific cases in which the data element is required or not allowed to be reported.

O = Optional. A value conforming to the listed class will be conditionally accepted if provided. An optional data element may be subject to validation, however.



Description:

Defines the data element and provides a further description of how it is to be reported. May include a list of acceptable values, an exception or further specification of the data class, and/or various rules regarding format, justification, and truncation.

Note:

Points to a further discussion regarding the reporting of the particular data element.



Input Record Structure Maps

Input Structure Map Submission Notes

- Spaces must be transmitted in all data elements marked 'filler'.
- Transmit ONLY uppercase alphabetic data.
- Transmit ONLY displayable characters found on a standard keyboard. Do not transmit low-values, carriage return characters, or other non-standard character.

The following table illustrates how the automated interface expects repeating groups to be structured in a proprietary format Entry Summary Block Control Grouping.

Block Control Input Structure Map

Control ID	Name	Designation	Loop Repeat
	Block Control Grouping	M	1
B	Block Control Header	M	
	Entry Summary TRANSACTION Grouping (...documented below...)	M	> 1

Note: Only the Block Control Header (B-Record) has been described in the document. Other ACE documentation shall describe the Batch Control Grouping (A-Record, Z-Record) and Block Control Trailer (Y-Record).



The following table(s) illustrate how the automated interface expects repeating groups to be structured in a proprietary format Entry Summary Transaction Grouping.

ES Transaction Input Structure Map

Control ID	Name	Designation	Loop Repeat (ACS/ACE) ¹
	Entry Summary TRANSACTION Grouping		
	Entry Summary HEADER Grouping	M	1
10	Entry Summary Header Control	M	
11	Entry Summary Header Content	C	
20	Cargo Reference Information	C	
21	Identifying Trip Information	C	
	Cargo Manifest Grouping	C	999 / 9,999
22	Cargo Manifest Detail	M	
	Bill of Lading/In-bond Grouping	M	4
23	Bill of Lading/In-bond Detail	M	
30	Warehouse Withdrawal Information	C	
31	Single Entry Bond Information	C	
	Consolidated Release Grouping	C	999 / 9,999
32	Release Detail	M	
33	Missing Document Information	C	
34	Entry Summary Header Fees	C	
	Entry Summary LINE ITEM Grouping	C	999 / 99,999
	(...documented below...)	M	
	Entry Summary TOTALS Grouping	C	1
88	ADD/CVD Duty Totals	C	
	Fee Total Grouping	C	9
89	Fee Total Detail	M	
90	Grand Totals	M	

¹The total number of items in the grouping cannot exceed the maximum specified. Until such time that the automated environment supports the larger groupings, the first number (if more than one specified) is the limitation (i.e., the limitation compatible with ACS).

Designation: **M** = Reporting Mandatory; **C** = Reporting Conditional; **O** = Reporting Optional



Entry Summary LINE ITEM Grouping Input Structure Map

Control ID	Name	Designation	Loop Repeat (ACS/ACE) ¹
	Entry Summary LINE ITEM Grouping	C	999 / 99,999
40	Line Item Header	M	
41	FTZ Status Information	C	
	Invoice/Ruling/Description Configurations	C	
	EITHER:		
	EIP Invoice Grouping	C	999 / 9,999
42	Invoice Line Reference Detail	M	
43	Rulings/Invoice by Request Detail	C	
	Commercial Description Grouping	C	999
44	Commercial Description	M	
	OR:		
	Non-EIP Rulings/Description Grouping	C	1
43	Rulings/Invoice by Request Detail	C	
	Commercial Description Grouping	C	999
44	Commercial Description	M	
47	Article Parties	C	
	Tariff Grouping	M	8
50	Tariff/Value/Quantity Detail	M	
	PGA Grouping	C	1
	(...documented below...)	M	
51	Standard Visa Information	C	
	License/Certificate/Permit Grouping	C	1 / 99
52	License/Certificate/Permit Detail	M	
	ADD/CVD Case Grouping	C	2
53	ADD/CVD Case Detail	M	
60	IR Tax Information	C	
	Line User Fee Grouping	C	5 / 9
62	Line User Fee Detail	M	
CW02	Census Warning Condition Override Information	C	

¹The total number of items in the grouping cannot exceed the maximum specified. Until such time that the automated environment supports the larger groupings, the first number (if more than one specified) is the limitation (i.e., the limitation compatible with ACS).

Designation: **M** = Reporting Mandatory; **C** = Reporting Conditional; **O** = Reporting Optional



PGA Grouping Input Structure Map

Note: A detailed description of the DOT HS-7 and FDA 701 data elements in the PGA Grouping can be found in the EXISTING CATAIR: 'Other Government Agencies' chapter.

Control ID	Name	Designation	Loop Repeat
	PGA Grouping	C	1
OA	PGA Disclaimer	C	
	DOT Grouping	C	999
OI	PGA Invoice Description	C	
DT01	HS-7 Header	M	
DT02	HS-7 Vehicle Information	C	
	HS-7 Vehicle Grouping	C	9,999
DT03	HS-7 Vehicle Detail	M	
	FCC Grouping	C	999
OI	PGA Invoice Description	C	
FC01	740 Header	M	
FC02	740 Continuation	M	
	FDA Grouping	C	999
OI	PGA Invoice Description	C	
FD01	701 Header	M	
FD02	701 Quantity, UOM	M	
FD03	701 Value, Container	M	
FD04	701 Quantity, UOM Continuation	C	
	701 Affirmation Grouping	C	999
FD05	701 Affirmation of Compliance	M	

Designation: **M** = Reporting Mandatory; **C** = Reporting Conditional; **O** = Reporting Optional



Entry Summary Filing INPUT Record Layouts

Block Control Grouping

An input 'block' consists of Entry Summary transactions 'enclosed' in an envelope. The block records specify the Filer/Broker declared on the Entry Summaries enclosed within that block. Each block begins with a B-Record and concludes with a Y-Record. Each block MUST enclose at least one Entry Summary transaction. The Block Control Grouping can be reported multiple times within a single Batch Control Grouping (A-Record, Z-Record envelope).

Block Control Header (Input B-Record)

The B-Record begins a block and is MANDATORY for all Entry Summary filings. A B-Record MUST be followed immediately by a 10-Record (Entry Summary Header Control). *(Note: The data element names and descriptions specified here are relevant to a B-Record used for an Entry Summary filing only and may be neither consistent nor applicable to any other application.)*

B-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	1AN	1-1	M	Always B	
Block Number	2N	2-3	M	The relative sequence of the Block within the A-, Z-Record Batch envelope.	
Processing District/Port Code	4AN	4-7	M	The code for the U.S. port that the enclosed Entry Summary(s) are to be processed (including where the statement shall be printed).	
Entry Filer Code	3AN	8-10	M	Entry Filer's identification code (as assigned by CBP).	
Application Identifier	2AN	11-12	M	Always AE .	
Filler (Statement Status Code, Statement Number, Preliminary Statement Print Date, Payment Type Indicator, Importer of Record Number, Client Branch Designation)	32S	13-44	M	Space fill. <i>These data element are reserved for an output statement response message only.</i>	
Processing Filer Office Code	2AN	45-46	C	The Entry Filer's office code. (Note: This code must be coordinated with CBP and associated with the Filer's automated profile). Space fill if not used.	
Remote Preparer District/ Port Code	4AN	47-50	C	The code for the U.S. port location of the Remote Preparer. Space fill if not a remotely prepared Entry Summary block.	



B-Record Data Element	Length/ Class	Position	Desig	Description	Note
Remote Preparer Filer Code	3AN	51-53	C	Remote Filer's (Preparer) identification code (as assigned by CBP). Space fill if not a remotely prepared Entry Summary block.	
Remote Preparer Office Code	2AN	54-55	C	The Remote Filer's (Preparer) office code. (Note: This code must be coordinated with CBP and associated with the Filer's automated profile). Space fill if not a remotely prepared Entry Summary block.	
Remotely Filed Summary Indicator	1AN	56-56	C	An indication that the Entry Summary block has been prepared and filed remotely in accordance with the Broker District permit rules as set forth in CBP regulation. 1 = Remote Entry Summary submission. <i>Other values may be used for other than an Entry Summary transaction. See the 'Application Control' chapter of the ACE ABI CATAIR.</i> Space fill if not used; not a remote Entry Summary submission.	
<i>Reserved filler for possible future expansion of Entry Filer Code.</i>	4S	57-60	M	Space fill.	
<i>Reserved filler for possible future expansion of Remote Preparer Filer Code.</i>	4S	61-64	M	Space fill.	
User Data Text	16X	65-80	C	Filer specified; text field shall be returned, unchanged, in the response B-Record.	



Entry Summary TRANSACTION Grouping

The Entry Summary TRANSACTION Grouping specifies the unique identity of an Entry Summary, control data such as a requested filing action, and conditionally, specific information regarding articles, parties to the transaction, estimations of duties, taxes & fees, licenses, etc. Each Entry Summary transaction begins with an Entry Summary Header Control (10-Record) record. All records that follow a 10-Record and precede a subsequent 10-Record (or Y-Record Block Control Trailer) record are considered as belonging to that reported Entry Summary. The Entry Summary TRANSACTION Grouping can be reported multiple times within a single block control grouping.

Entry Summary HEADER Grouping

The Entry Summary HEADER Grouping consists of information that applies to all articles reported for the shipment. The Entry Summary HEADER Grouping can be reported one time only within a single Entry Summary TRANSACTION Grouping.

Entry Summary Header Control (Input 10-Record)

The 10-Record identifies the Entry Summary, specifies an action request, and contains 'control' codes to determine conditional record and data element reporting. The 10-Record also contains 'payment intent' information. It is MANDATORY for each transaction.

10-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	2AN	1-2	M	Always 10	
Summary Filing Action Request Code	1A	3-3	M	The action requested for this Entry Summary transaction: A = Add or entirely Replace an Entry Summary. R = Add or entirely Replace an Entry Summary. D = Delete/remove an Entry Summary.	1
Entry Filer Code	3AN	4-6	M	Entry Filer's identification code (as assigned by CBP).	
<i>Reserved filler for possible future expansion of Entry Filer Code and/or Entry Number.</i>	2S	7-8	M	Space fill.	
Entry Number	8AN	9-16	M	Unique identifying number assigned to the Entry by the Filer: The check digit must be computed using the formula found in 'AE Table 1 – Check Digit Computation Formula'.	
Filler	1S	17-17	M	Space fill.	
District/Port of Entry	4AN	18-21	M	The code for the U.S. port that the merchandise is entered (under either an 'entry' or an 'immediate delivery permit').	
Broker Reference Number	9X	22-30	C	Filer/Preparer's internal Entry Summary identifier. Space fill if not used.	



10-Record Data Element	Length/Class	Position	Desig	Description	Note
<i>Reserved filler for future expansion of the Broker Reference Number when fully ACE compatible.</i>	3S	31-33	M	Space fill until expanded length fully supported.	
Entry Type Code	2AN	34-35	M	<p>A code identifying the type of entry being submitted.</p> <p>Note: Only types '01' and '11' are supported at this time. Additional Entry Types shall be made available in the future.</p> <p>See 'AE Table 2 – Entry Type Codes' for a list codes supported in this transaction.</p>	
Mode of Transportation (MOT) Code	2AN	36-37	C	<p>A code identifying the mode of transportation by which the merchandise entered the U.S. port of arrival from the last foreign country.</p> <p>See 'AE Table 3 – Mode of Transportation Codes' for a list of codes supported in this transaction.</p> <p>Space fill if not required/not reported.</p>	2
Bond Type Code	1AN	38-38	C	<p>An indication of the type of bond coverage required for the payment of duties, fees, and taxes, when required.</p> <p>0 = No bond required. The bond has been waived in accordance with C.R. 142.4 (c) or other regulation.</p> <p>8 = A continuous (multiple transaction) bond has been obtained to cover the payment, if any.</p> <p>9 = A single entry bond has been obtained to cover the payment, if any.</p> <p>Space fill if not required/not reported.</p>	2
Paperless Summary Certification Indicator	1AN	39-39	C	<p>An indication of the Filer's willingness to accept a paperless designation for the Entry Summary; an <i>electronic signature</i>. An electronic signature is required when there is no paper Entry Summary;</p> <p>Y = Electronic Signature; Entry Summary Paperless.</p> <p>Space fill if not used; Entry Summary is not paperless.</p>	2



10-Record Data Element	Length/Class	Position	Desig	Description	Note
Cargo Release Certification Request Indicator	1AN	40-40	C	<p>An indication that the Filer is instructing CBP to use the Entry Summary information for the purpose of Cargo Release (i.e., create/process an Entry for the release of the cargo).</p> <p>Y = Certify for Cargo Release. Use the Entry Summary information for Cargo Release purposes.</p> <p>Space fill if not used; Certify for Cargo Release not requested.</p>	2, 5
Electronic Invoice Indicator	1AN	41-41	C	<p>An indication that the Entry Summary conforms to the requirements for Electronic Invoice Processing (EIP) program via the Automated Invoice Interface (All) : an electronic invoice(s) that accounts for ALL articles claimed on the Entry Summary has EITHER – a) been submitted electronically to CBP, or b) is available for electronic submission when requested by CBP.</p> <p>Y = Electronic Invoice Available.</p> <p>Space fill if not used; electronic invoice not available.</p>	2
Consolidated Summary Indicator	1AN	42-42	C	<p>An indication that the Entry Summary accounts for (i.e., 'consolidates') the cargo referenced in more than one Cargo Release (entry) transaction.</p> <p>Y = Consolidated Entry Summary.</p> <p>Space fill if not used; not consolidated.</p>	2
Shipment Usage Type Code	1AN	43-43	C	<p>An indication that the articles referenced in the summary are for personal use or designated as a commercial sample.</p> <p>P = Personal Shipment. X = Sample Commercial Shipment.</p> <p>Space fill if a <i>Regular</i> Commercial Shipment.</p>	2, 3
Live Entry Indicator	1AN	44-44	C	<p>An indication that both Entry Summary & Entry are filed at time of entry (required for importation of quota class merchandise); i.e., a <i>live</i> entry.</p> <p>Y = Entry Summary filing is a live entry.</p> <p>Space fill if not used; not a live entry.</p>	2



10-Record Data Element	Length/ Class	Position	Desig	Description	Note
Deferred Tax Payment Code	1AN	45-45	C	<p>An indication that an arrangement has been made with CBP's National Finance Center (NFC) to defer payment of Internal Revenue (IR) tax to be assessed on this Entry Summary, if any, to a periodic basis.</p> <p>1 = Conventional IR tax payment deferral has been arranged. 2 = Electronic Funds Transfer (EFT) IR tax payment deferral has been arranged.</p> <p>Space fill if not used, if no IR tax payment deferral has been arranged and/or if no IR tax applicable for this Entry Summary.</p>	2
NAFTA Reconciliation Indicator	1AN	46-46	C	<p>An indication that certain issues (such as value, classification, etc.) are to be subject to a future 'reconciliation' under the North American Free Trade Agreement (NAFTA).</p> <p>Y = Issues to be subject to a future NAFTA reconciliation.</p> <p>Space fill if not used; issues not subject to future NAFTA reconciliation.</p>	2
Reconciliation Issue Code	3AN	47-49	C	<p>A code that identifies the specific issue(s) to be reconciled in the future.</p> <p>001 = Value. 002 = Classification. 003 = Chapter 9802. 004 = Value & Classification. 005 = Value and Chapter 9802. 006 = Classification & Chapter 9802. 007 = Value, Classification & Chapter 9802.</p> <p>Space fill if not used.</p>	2
Filler	1S	50-50	M	Space fill.	
Payment Type Code	1AN	51-51	C	<p>A code that specifies the intended payment method for the Entry Summary. If statement is the chosen method, the code further specifies the type of statement and the sequence that the Entry Summary shall appear.</p> <p>1 = Individual payment (NOT on a statement). Note: Only allowed at this time AFTER an ACE Entry</p>	2, 4



10-Record Data Element	Length/Class	Position	Desig	Description	Note
				<p>Summary has been removed from the statement.</p> <p>On DAILY statement corresponding to the Preliminary Statement Print Date:</p> <p>2 = Entries sequenced by Broker Reference Number (if pre-arranged) or by Entry Number. 3 = Entries sequenced by Importer of Record & subdivision suffix. 5 = Entries sequenced by Importer of Record (no suffix).</p> <p>On PERIODIC MONTHLY statement corresponding Periodic Statement Month. To appear on the DAILY statement corresponding to the Preliminary Statement Print Date:</p> <p>6 = Entries sequenced by Broker Reference Number (if pre-arranged) or by Entry Number. 7 = Entries sequenced by Importer of Record & subdivision suffix. 8 = Entries sequenced by Importer of Record (no suffix).</p> <p>Space fill if statement fields not allowed.</p>	
Preliminary Statement Print Date	6D or 6S	52-57	C	<p>The date that the Entry Summary is to appear on the Preliminary Statement.</p> <p>Space fill if not on Statement or if statement fields not allowed.</p>	2, 4
Periodic Statement Month	2AN	58-59	C	<p>The calendar month that the Entry Summary is to appear on the Final Periodic Monthly Statement. (MM Format).</p> <p>Space fill if not on Periodic Monthly Statement or if statement fields not allowed.</p>	2, 4
Statement Client Branch Identifier	2AN	60-61	C	<p>A Filer assigned code that further groups Entry Summaries on a statement.</p> <p>Space fill if the participant does not use or if statement fields not allowed.</p>	2, 4
Filler	19S	62-80	M	Space fill.	

) The **A** (Add) and **R** (Replace) action can conditionally be used **interchangeably** to both create/establish a **new** Entry Summary and to update/correct an **existing** Entry Summary. See the Usage Notes for more information.



- 2) The Data element is **not allowed** if the Summary Filing Action Request Code is a **D** (Delete) and must be space filled. If a Delete action has been requested AND data is provided in the data element it will be fatally **REJECTED**.
- 3) A shipment marked as 'personal' may be an indication that the goods are not specifically meant for an individual's use, but rather as an exemption from a visa reporting requirement.
- 4) Statement fields are not allowed when correcting/amending an Entry Summary that has been 'returned' to the Filer after the initial Payment has been made (e.g., 'rejected' by an Import Specialist). All must be space fill; see the Usage Notes for more information.
- 5) The results of the request for Cargo Release Certification shall be returned to the Filer in a separate EDI response.

Entry Summary Header Content (Input 11-Record)

The 11-Record contains conventional Entry Summary header *content* and is **CONDITIONAL** for an Entry Summary **HEADER** Grouping. The 11-Record may be reported one time per summary. If reported, an 11-Record **MUST** immediately follow the 10-Record (Entry Summary Header Control). Do **NOT** transmit if **NOT** required. An 11-Record is **MANDATORY** if the 10-Record Summary Filing Action Request Code is an **A** (Add) or **R** (Replace). An 11-Record is **NOT ALLOWED** if the 10-Record Summary Filing Action Request Code is a **D** (Delete).

11-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	2AN	1-2	M	Always 11	
Importer of Record Number	12X	3-14	M	Identification of the U.S. party or other entity (individual or firm) liable for payment of all duties and meeting all statutory and regulatory requirements incurred as a result of importation.	
Ultimate Consignee Number	12X	15-26	C	Identification of the U.S. party or other entity to whom the overseas shipper sold the imported merchandise. Space fill if not required/not reported.	
Designated Notify Party (4811) Number	12X	27-38	C	Identification of the U.S. party or other entity (individual or firm) to whom refunds, bills, or notices of extension of suspension of liquidation are to be sent (if other than the Importer of Record). Space fill if not used.	
Surety Code	3AN	39-41	C	Identification of the Surety company that has underwritten the bond liability for the entry or a specific bond waiver reason. Space fill if not required/not reported.	
Estimated Entry Date	6D or 6S	42-47	C	Date that the merchandise is entered or is expected to be entered (i.e., the 'entry' presented). This is normally the Release Date, yet may differ. Space fill if not used. Provide an estimate if the date is to be used to determine the relevant classification date and is not pre-	



11-Record Data Element	Length/Class	Position	Desig	Description	Note
				empted by another date (see 'Basic Article Classification and Tariff Considerations – Determining the Relevant Classification Date' below).	
Date of Importation	6D or 6S	48-53	C	For merchandise arriving by Vessel, the date the vessel arrived within the limits of the U.S. Port of Arrival with the intent to unload. For other transport modes, the date the merchandise arrived within the U.S. Port of Arrival. Space fill if not used.	
Foreign Trade Zone Identifier	7AN	54-60	C	For merchandise being entered from a Foreign Trade Zone, the identifier of the zone. Note: Only data elements relevant to types '01' and '11' are supported at this time. This data element will not be accepted. Space fill if not used.	
U.S. State of Destination Code	2AN	61-62	C	The code for the U.S. state, U.S. territory or U.S. possession where the merchandise is destined. Report a valid USPS State code. Space fill if not required/not reported.	1
Filler	18S	63-80	M	Space fill.	

1) May be reported as **PR** (Puerto Rico) or **VI** (U.S. Virgin Islands)

Cargo Reference Information (Input 20-Record)

The 20-Record contains information regarding the physical cargo and is **CONDITIONAL** for an Entry Summary **HEADER** Grouping. The 20-Record may be reported one time per summary. If reported, a 20-Record **MUST** immediately follow the 11-Record (Entry Summary Content). Do **NOT** transmit if **NOT** required. A 20-Record is **ALLOWED** if the 10-Record Summary Filing Action Request Code is an **A** (Add) or **R** (Replace). A 20-Record is **NOT ALLOWED** if the 10-Record Summary Filing Action Request Code is a **D** (Delete).

20-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	2AN	1-2	M	Always 20	
Carrier Code	4AN	3-6	C	The identification of the entity responsible for transporting the merchandise from the foreign port of lading to the first U.S. port of unloading. For vessel, rail or truck shipments: report the SCAC. For air	1



20-Record Data Element	Length/Class	Position	Desig	Description	Note
				<p>shipments: report the IATA code. Left justify, space fill.</p> <p>Space fill if not required/not reported.</p>	
District/Port of Unlading	4AN	7-10	C	<p>The code for the U.S. port where the merchandise is unladed from the importing conveyance.</p> <p>Space fill if not required/not reported.</p>	
Estimated Date of Arrival	6D or 6S	11-16	C	<p>Date that the merchandise arrived, or is expected to arrive, at the District/Port of Entry.</p> <p>Space fill if not used. Provide an estimate if the date is to be used to determine the relevant classification date and is not pre-empted by another date (see '<i>Basic Article Classification and Tariff Considerations – Determining the Relevant Classification Date</i>' below).</p>	
Location of Goods Code	4AN	17-20	C	<p>The Facilities Information and Resources Management System (FIRMS) code that identifies the known location of the merchandise at the time of filing.</p> <p>Space fill if not required/not reported.</p>	
Conveyance Name	20X	21-40	C	<p>For vessel shipments: the name of the vessel. Not required for a non-vessel shipment; a value is allowed, however (e.g., the name of the carrier). Left justify; trailing spaces.</p> <p>Space fill if not required/not reported.</p>	
Vessel Code	7AN	41-47	C	<p>The Lloyd's code identifier of the importing vessel.</p> <p>Space fill if not required/not reported.</p>	
Designated Exam Port Code	4AN	48-51	C	<p>For a remotely filed Entry Summary, the code for the U.S. Port where cargo examination is to take place. The Designated Exam port must be the port nearest the cargo's final destination.</p> <p>Space fill if not used.</p>	
In-Bond/In-Transit Date	6D or 6S	52-57	C	<p>Date that the in-bond movement referenced by the In-Bond/In-Transit Number(s) took place.</p> <p>Required if In-Bond/In-Transit Number</p>	



20-Record Data Element	Length/Class	Position	Desig	Description	Note
				reported in a Cargo Manifest Grouping. Space fill if not required.	
Filler	23S	58-80	M	Space fill.	

) SCAC (Standard Carrier Alpha Code) as issued and maintained by the National Motor Freight Traffic Association (NMFTA). IATA code as issued and maintained by the International Air Transport Association (IATA).

Identifying Trip Information (Input 21-Record)

The 21-Record contains the identity of the ‘trip’ that moved the physical cargo and is CONDITIONAL for an Entry Summary HEADER Grouping. The 21-Record may be reported one time per summary. Do NOT transmit if NOT required. A 21-Record is ALLOWED if the 10-Record Summary Filing Action Request Code is an **A** (Add) or **R** (Replace). A 21-Record is NOT ALLOWED if the 10-Record Summary Filing Action Request Code is a **D** (Delete).

21-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	2AN	1-2	M	Always 21	
Trip Identifier	5X	3-7	M	Carrier’s identification of the trip/manifest.	
Reserved filler for future expansion of the Trip Identifier when fully ACE compatible.	73S	8-80	M	Space fill until expanded length fully supported.	

Cargo Manifest Grouping

The Cargo Manifest Grouping contains information regarding a manifest and is CONDITIONAL for an Entry Summary HEADER Grouping. The Cargo Manifest Grouping may be reported up to 9,999 times per summary. **Note: 999 times per summary, until such time that the automated environment supports the larger groupings.** Do NOT transmit if NOT required. The grouping is CONDITIONAL if the 10-Record Summary Filing Action Request Code is an **A** (Add) or **R** (Replace). The grouping is NOT ALLOWED if the 10-Record Summary Filing Action Request Code is a **D** (Delete).

Cargo Manifest Detail (Input 22-Record)

The 22-Record contains information regarding a single bill-of-lading/in-bond/in-transit movement and is CONDITIONAL for an Entry Summary HEADER Grouping. The Cargo Manifest Detail (22-Record) is to be reported once per Cargo Manifest Grouping.

22-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	2AN	1-2	M	Always 22	
Manifested Quantity	8(S)N	3-10	M	Total number of units manifested on the lowest bill level reported; total number of units that correspond to the Manifested Quantity Unit of Measure Code.	
Manifested Quantity Unit of Measure Code	5X	11-15	M	A unit of measure code that corresponds to the manifested quantity.	



22-Record Data Element	Length/Class	Position	Desig	Description	Note
				Left justify; trailing spaces.	
Filler	65S	16-80	M	Space fill.	

Bill of Lading/In-Bond Grouping

The Bill of Lading/In-Bond Grouping contains information regarding a *single* bill-of-lading/ in-bond/in-transit movement *component* and is MANDATORY for a Cargo Manifest Grouping. The Bill of Lading/In-Bond Detail (23-Record) may be reported up to 4 times per Cargo Manifest Grouping. Up to four Bill or Lading/In-Bond Detail records, taken as a whole, identify a bill-of-lading, in-bond, or in-transit movement.

Bill of Lading/In-Bond Detail (Input 23-Record)

The 23-Record contains information regarding a *single* bill-of-lading or in-bond/in-transit movement *component* and at least *one* is MANDATORY for a Cargo Manifest Grouping. The Bill of Lading/In-Bond Detail (23-Record) may be repeated up to four times in a Cargo Manifest Grouping.

23-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	2AN	1-2	M	Always 23	
Manifest Component Type Code	1AN	3-3	M	The type of identifier reported in the Manifest Component Identifier data element. I = In-Bond/In-Transit Number. M = Master Bill Number. H = House Bill Number. S = Sub-House Bill Number.	1
Manifest Component Issuer Code	4AN	4-7	C	A code that identifies the issuer of the manifest component. Space fill if not required/not reported for component type.	
Manifest Component Identifier	12X	8-19	M	The identifier of the manifest component.	
<i>Reserved filler for future expansion of the Manifest Component Identifier when fully ACE compatible.</i>	38S	20-57	M	Space fill until expanded length fully supported.	
Filler	23S	58-80	M	Space fill.	

1) The manifest components MUST be reported in the following Component Type Code order: I, M, H, S.

Warehouse Withdrawal Information (Input 30-Record)



The 30-Record contains warehouse withdrawal information and is CONDITIONAL for an Entry Summary HEADER Grouping. The 30-Record may be reported one time per summary. Do NOT transmit if NOT required. A 30-Record is CONDITIONAL if the 10-Record Summary Filing Action Request Code is an **A** (Add) or **R** (Replace). A 30-Record is NOT ALLOWED if the 10-Record Summary Filing Action Request Code is a **D** (Delete). **Note: Only records relevant to types '01' and '11' are supported at this time. This record shall not be accepted.**

30-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	2AN	1-2	M	Always 30	
Associated Warehouse Entry Filer Code	3AN	3-5	M	Filer's identification code of the associated Warehouse Entry.	
Filler	2S	6-7	M	Space fill.	
Associated Warehouse Entry Number	8AN	8-15	M	Unique identifying number assigned to the associated Warehouse Entry.	
Filler	1S	16-16	M	Space fill.	
Associated Warehouse Entry District/Port Code	4AN	17-20	M	The code for the U.S. port that where associated Warehouse Entry entered.	
Final Warehouse Withdrawal Indicator	1AN	21-21	C	An indication that the Warehouse Withdrawal is the final withdrawal for the Associated Warehouse Entry. Y = The final withdrawal. Space fill if not the final withdrawal.	
Filler	59S	22-80	M	Space fill.	

Single Entry Bond Information (Input 31-Record)

The 31-Record contains information regarding a single entry bond claim and is CONDITIONAL for an Entry Summary HEADER Grouping. The 31-Record may be reported one time per summary. The 31-Record is required when the Bond Type Code = 9 (Single Entry Bond). Do NOT transmit if NOT required. A 31-Record is CONDITIONAL if the 10-Record Summary Filing Action Request Code is an **A** (Add) or **R** (Replace). A 31-Record is NOT ALLOWED if the 10-Record Summary Filing Action Request Code is a **D** (Delete).

31-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	2AN	1-2	M	Always 31	
Bond Amount	10(S)N	3-12	M	Bond coverage amount in whole U.S. dollars.	
Bond Producer Account Number	10AN	13-22	M	The Entry Filer's identification number assigned by the Surety company. Left justified; trailing spaces.	
Filler	58S	23-80	M	Space Fill.	

Consolidated Release Grouping



The Consolidated Release Grouping contains information regarding release information and is CONDITIONAL for an Entry Summary HEADER Grouping. The Release Detail (32-Record) may be reported up to 9,999 times per summary. Do NOT transmit if NOT required. The grouping is CONDITIONAL if the 10-Record Summary Filing Action Request Code is an **A** (Add) or **R** (Replace). The grouping is NOT ALLOWED if the 10-Record Summary Filing Action Request Code is a **D** (Delete).

Release Detail (Input 32-Record)

The 32-Record contains up to six Entry Numbers and is CONDITIONAL for an Entry Summary HEADER Grouping.

32-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	2AN	1-2	M	Always 32	
Release Entry Filer Code (1)	3AN	3-5	M	Filer's identification code of the Entry.	
Filler	2S	6-7	M	Space fill.	
Release Entry Number (1)	8AN	8-15	M	Unique identifying number assigned to the Entry.	
Release Entry Filer Code (2)	3AN	16-18	C	An additional Entry (Filer's identification Code + unique number).	
Filler	2S	19-20		Space fill if not used.	
Release Entry Number (2)	8AN or 8S	21-28			
Release Entry Filer Code (3)	3AN	29-31	C	An additional Entry (Filer's identification Code + unique number).	
Filler	2S	32-33		Space fill if not used.	
Release Entry Number (3)	8AN or 8S	34-41			
Release Entry Filer Code (4)	3AN	42-44	C	An additional Entry (Filer's identification Code + unique number).	
Filler	2S	45-46		Space fill if not used.	
Release Entry Number (4)	8AN or 8S	47-54			
Release Entry Filer Code (5)	3AN	55-57	C	An additional Entry (Filer's identification Code + unique number).	
Filler	2S	58-59		Space fill if not used.	
Release Entry Number (5)	8AN or 8S	60-67			
Release Entry Filer Code (6)	3AN	68-70	C	An additional Entry (Filer's identification Code + unique number).	
Filler	2S	71-72		Space fill if not used.	
Release Entry Number (6)	8AN or 8S	73-80			

Missing Document Information (Input 33-Record)

The 33-Record contains information regarding one or more associated documents that are not available at the time of Entry Summary filing and is CONDITIONAL for an Entry Summary HEADER Grouping. The 33-Record may be reported one time per summary. Do NOT transmit if NOT



required. A 33-Record is CONDITIONAL if the 10-Record Summary Filing Action Request Code is an **A** (Add) or **R** (Replace). A 33-Record is NOT ALLOWED if the 10-Record Summary Filing Action Request Code is a **D** (Delete).

33-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	2AN	1-2	M	Always 33	
Missing Document Code (1)	2AN	3-4	M	First missing document code or '98' (Other document not codified).	1
Missing Document Code (2)	2AN	5-6	C	Second missing document code (if any), '98' (Other document not codified), or '99' (More than two documents missing). Space fill if not used.	1
Filler	74S	7-80	M	Space Fill.	

) See 'AE Table 7 – Missing Document Codes' for a list of codes.

Entry Summary Header Fees (Input 34-Record)

The 34-Record contains Class Code and amount information for summary level user fees and is CONDITIONAL for an Entry Summary HEADER Grouping. The 34-Record may be reported one time per summary. Do NOT transmit if NOT required. A 34-Record is CONDITIONAL if the 10-Record Summary Filing Action Request Code is an **A** (Add) or **R** (Replace). A 34-Record is NOT ALLOWED if the 10-Record Summary Filing Action Request Code is a **D** (Delete).

34-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	2AN	1-2	M	Always 34	
Accounting Class Code (1)	3AN	3-5	M	CBP accounting classification code representing a specific fee type applicable for the summary as a whole.	1, 2, 3
Header Fee Amount (1)	8(S)N	6-13	M	The applicable fee amount in U.S. dollars and cents that corresponds to Accounting Class Code (1). Two decimal places are implied.	
Accounting Class Code (2)	3AN	14-16	C	An additional header fee class and applicable fee amount in U.S. dollars and cents. Space fill if not used.	1, 2, 3
Header Fee Amount (2)	8(S)N or 8S	17-24			
Filler	56S	25-80	M	Space fill.	

) See 'AE Table 6 – User Fee Accounting Class Codes' for a list those codes supported at the Entry Summary header level.

- 2) The header fees may be reported in any Accounting Class order.
- 3) When reported, must also be reflected in the 89-Record Fee Total Detail.

Entry Summary LINE ITEM Grouping



The Entry Summary LINE ITEM Grouping contains information regarding a single article (or component of an article) and is CONDITIONAL for an Entry Summary TRANSACTION Grouping. The Line Item Header (40-Record) may be reported up to 99,999 times per summary. **Note: 999 times per summary, until such time that the automated environment supports the larger groupings.** Do NOT transmit if NOT required. The grouping is MANDATORY if the 10-Record Summary Filing Action Request Code is an **A** (Add) or **R** (Replace). The grouping is NOT ALLOWED if the 10-Record Summary Filing Action Request Code is a **D** (Delete).

Line Item Header (Input 40-Record)

The 40-Record contains information regarding a single article (or component of an article) and is MANDATORY for an Entry Summary LINE ITEM Grouping.

40-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	2AN	1-2	M	Always 40	
<i>Reserved filler for future expansion of the Line Item Identifier when fully ACE compatible.</i>	2S	3-4	M	Space fill until expanded length fully supported.	
Line Item Identifier	3X	5-7	M	The filer/transmitter's identity of the specific line item within an Entry Summary.	1
Article Set Indicator	1AN	8-8	C	An indication that the Entry Summary Line Item is part of an article 'set' as defined by General Rules of Interpretation (GRI 3(b) and 3(c)) (i.e., a provision for the classification of mixtures, composite goods of different materials or made up of different components, and goods put up in sets for retail sale.) 'X' = The line item is the 'header' of an article set. 'V' = The line item is a component of an article set. Space fill if the line item is NOT part of an article set.	
Country of Origin Code	2X	9-10	M	The country from which the article originated. Report standard ISO Country Code or '***' if the country of origin is not known.	
Country of Export Code	2AN	11-12	C	The country from which the article was shipped to the U.S. having last been a part of the commerce of that country. Report standard ISO Country Code.	2



40-Record Data Element	Length/ Class	Position	Desig	Description	Note
				Space fill if not required/not reported.	
Date of Exportation	6D or 6S	13-18	C	The date that the exporting vessel departed the last port in the exporting country. Space fill if not required/not reported.	
Date of Exportation (for Textiles)	6D or 6S	19-24	C	For textile goods reported with a visa, the date the article was exported from the Country of Origin. Space fill if not required/not reported.	
Trade Agreement / Special Program Claim Code	2AN	25-26	C	A code that specifies an applicable trade agreement or applicable program that may reduce or eliminate duty and/or MPF. See 'AE Table 8 – Trade Agreement / Special Program Claim Codes' for a list of codes. Space fill if not used.	
Charges Amount	10(S)N	27-36	C	Aggregate cost (excluding duty) of freight, insurance, and other costs incurred, reported in whole U.S. dollars. Report zero if not used.	
Foreign Port of Lading Code	5AN	37-41	C	The code for the foreign port that the merchandise was laden onto the importing vessel. (See 'Schedule K – Classification of Foreign Ports by Geographic Trade Area and Country'.) Space fill if not required/not reported.	
Gross Shipping Weight	10(S)N	42-51	C	Gross shipping weight of the article in kilograms. Weight to include packaging, but exclude carrier equipment (shipping container, etc.). Report zeroes if not used.	
Category Code (for Textiles)	3N or 3S	52-54	C	A code that further categorizes textiles and fabrics as related to a quota or visa as classified by the U.S. Textile and Apparel Category System. Report the actual category code; the following is for informational purposes only. 200 series are of cotton and/or man made fiber. 300 series are of cotton. 400 series are of wool. 600 series are of man made fiber. 800 series are of silk blends or non-cotton	



40-Record Data Element	Length/Class	Position	Desig	Description	Note
				vegetable fibers. Space fill if not used.	
Product Claim Code	1AN	55-55	C	A code that further identifies a product. The code may exempt a visa reporting requirement. F = A 'folklore product' (hand-loomed fabric, hand-made articles made of hand-loomed fabric and traditional products of the cottage industry). G = A 'made to measure' suit of Hong Kong origin. The Category Codes associated with suits are 443, 444, 643, 644, 843 and 844. H = Certain garments in chapter 61 or 62 of the HTS which may be eligible for entry under a special access program. M = A textile fashion sample. Space fill if not used.	
Related Party Indicator	1AN	56-56	C	An indication that the transaction is between parties as defined in Section 402(g)(1) of the Tariff Act of 1930, amended. Y = Yes; Companies related. N = No; Companies NOT related. Space fill if not required/not reported.	3
NAFTA Net Cost Indicator	1AN	57-57	C	An indication that the determination of NAFTA origin material used in the article conforms to the 'net cost' (average) method. Y = Yes; NAFTA net cost determination applies. Space fill if not specified; NAFTA net cost determination does not apply.	
Fee Exemption Code	1AN	58-58	C	An indication that the article is exempt from a specific fee. 1 = Cotton (056) fee exempt for the cotton article. 2 = Other agriculture fee exempt; Importer has obtained an organic product exemption certificate from the Department of Agriculture.	4



40-Record Data Element	Length/Class	Position	Desig	Description	Note
				Space fill if not required/not reported.	
Filler	22S	59-80	M	Space fill.	

- 1) The Line Item Identifier any value. The value is returned, unchanged, in an output response when a data element at the line item level has caused or contributed to a fatal, Census warning, or informational notice condition.
- 2) Must be reported if the corresponding 40-Record Country of Origin Code has been reported as '***' (unknown).
- 3) Related Party as defined in Section 402(g) (1) of the Tariff Act of 1930, as amended, includes Transactions between parties with various types of relationships including "...Any person directly or indirectly owning, controlling, or holding with power to vote, 6 percent or more of the outstanding voting stock or shares of any organization..."
- 4) Refer to Administrative Message 04-2257 for description of the organic certificate number process.

FTZ Status Information (Input 41-Record)

The 41-Record contains information required for FTZ reporting. The 41-Record is **CONDITIONAL** for an Entry Summary LINE ITEM Grouping. The 41-Record may be reported one time per line item. Do not submit if not required. **Note: Only records relevant to types '01' and '11' are supported at this time. This record shall not be accepted.**

41-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	2AN	1-2	M	Always 41	
FTZ Merchandise Status Code	1AN	3-3	M	An indication as to the 'status' of the FTZ merchandise: P = Privileged Foreign N = Non-Privileged Foreign D = Domestic Z = Zone Restricted	
Privileged FTZ Merchandise Filing Date	6D or 6S	4-9	C	For Privileged Foreign (i.e., that merchandise that has not been manipulated or manufactured so as to effect a change in tariff), the date the merchandise entered the zone. Space fill if NOT Privileged Foreign.	
Filler	71S	10-80	M	Space fill.	

Invoice/Ruling/Description Configurations

Invoice and classification ruling information is conditionally required in an electronic Entry Summary filing. Depending upon the intent of the filer, one of two similar configurations of data can be submitted. See the Usage Notes for more information.

EIP Invoice Grouping



The EIP Invoice Grouping contains information regarding invoice and classification ruling information and is MANDATORY for an Entry Summary LINE ITEM Grouping when an EIP claim has been made (i.e., 10-Record Electronic Invoice Indicator = 'Y'). The EIP Invoice Grouping may be reported up to 9,999 times per Line Item. **Note: 999 times per Line Item, until such time that the automated environment supports the larger groupings.**

Non-EIP Rulings/Description Grouping

The Non-EIP Rulings/Description Grouping contains information regarding invoice and classification ruling information and is CONDITIONAL for an Entry Summary LINE ITEM Grouping when an EIP claim has NOT been made (i.e., 10-Record Electronic Invoice Indicator NOT = 'Y'). The Non-EIP Rulings/Description Grouping may be reported only one time per Line Item.

Invoice Line Reference Detail (Input 42-Record)

The 42-Record contains information regarding the supplier and invoice 'line range' and is MANDATORY for an EIP Invoice Grouping. This record cross-references an invoice line or group of invoice lines to the Entry Summary line item of which it is a part. This record is mandatory for Automated Invoice Interface (All) participants who have reported a Y in the Electronic Invoice Indicator in the 10-Record. This record is repeated as often as necessary to account for all of the invoices and invoice lines that comprise the Entry Summary line. Do NOT transmit if NOT required.

42-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	2AN	1-2	M	Always 42	
Supplier ID Code	15AN	3-17	M	A code identifying the supplier. Refer to CBP Directive 3500-13 of November 24, 1986, for complete instructions on determining this code.	
Invoice Number	17X	18-34	M	The invoice number as issued by the Supplier. (Use alphanumeric and dash ['-'] only.)	
<i>Reserved filler for future expansion of the beginning Invoice Line Number.</i>	1S	35-35	M	Space fill.	
Invoice Line Range 1 - Begin	4(S)N	36-39	M	The first invoice line number that references the article.	
<i>Reserved filler for future expansion of the ending Invoice Line Number.</i>	1S	40-40	M	Space fill.	
Invoice Line Range 1 - End	4(S)N	41-44	M	The ending invoice line number that references the article if a contiguous range of numbers is needed. If only a single line number is needed to specify the reference, repeat the beginning line number.	



42-Record Data Element	Length/Class	Position	Desig	Description	Note
Filler	1S	45-45	M	An additional contiguous line number range that references the article.	
Invoice Line Range 2 - Begin	4(S)N or 4S	46-49	C		
Filler	1S	50-50	M	Space fill if not used.	
Invoice Line Range 2 - End	4(S)N or 4S	51-54	C		
Filler	1S	55-55	M	An additional contiguous line number range that references the article.	
Invoice Line Range 3 - Begin	4(S)N or 4S	56-59	C		
Filler	1S	60-60	M	Space fill if not used.	
Invoice Line Range 3 - End	4(S)N or 4S	61-64	C		
Filler	1S	65-65	M	An additional contiguous line number range that references the article.	
Invoice Line Range 4 - Begin	4(S)N or 4S	66-69	C		
Filler	1S	70-70	M	Space fill if not used.	
Invoice Line Range 4 - End	4(S)N or 4S	71-74	C		
Filler	6S	75-80	M	Space fill.	

Ruling/Invoice by Request Detail (Input 43-Record)

The 43-Record contains information to identify an administrative ruling number (ruling number, pre-classification number, or pre-approval number) or a means to inform CBP that an invoice is available for electronic submission and is **CONDITIONAL** for BOTH an EIP Invoice and a Non-EIP Rulings/Description Grouping.

43-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	2AN	1-2	M	Always 43	
Ruling Type Code	1AN	3-3	M	<p>An indication as to the type of information provided in the record:</p> <p>C = A Pre-Classification number, specifically citing the Importer and merchandise, is provided in the Ruling Number/Request Indicator.</p> <p>P = A Pre-Approval number, specifically citing the Importer and merchandise, is provided in the Ruling Number/Request Indicator.</p> <p>R = A Binding Ruling number is provided in the Ruling Number/Request Indicator.</p> <p>For use in an EIP Invoice Grouping ONLY:</p> <p>I = The text 'INVREQ' is provided in the Ruling Number/Request Indicator;</p>	



43-Record Data Element	Length/Class	Position	Desig	Description	Note
				use denotes that the complete invoice is available for electronic submission when requested by CBP.	
<i>Reserved filler for future expansion of the Ruling Number.</i>	5S	4-8	M	Space fill.	
Ruling Number/Request Indicator	6AN	9-14	C	<p>The administrative number assigned by CBP to a binding ruling or a ruling under the pre-importation review program (PIRP).</p> <p>For use in an EIP Invoice Grouping ONLY:</p> <p>The text 'INVREQ' is used to denote that the complete invoice is available for electronic submission when requested/required by CBP.</p> <p>Left justify, space fill.</p>	
Filler	66S	15-80	M	Space fill.	

Commercial Description Grouping

The Commercial Description Grouping contains information regarding the invoice and is CONDITIONAL for BOTH an EIP Invoice and a Non-EIP Rulings/Description Grouping. The Commercial Description (44-Record) may be reported up to 99 times per grouping.

Commercial Description (Input 44-Record)

The 44-Record contains commercial description details of the article and is MANDATORY in the Commercial Description Grouping.

44-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	2AN	1-2	M	Always 44	
Commercial Description Text	70X	11-80	M	<p>The commercial description as provided on the invoice line.</p> <p>Broad, generalized language is unacceptable, as are tariff descriptions. Complete commercial terminology, in the English language, is mandatory.</p> <p>Left justify.</p>	
Filler	8S	10-10	M	Space fill.	



Article Parties (Input 47-Record)

The 47-Record contains information about article level business entities. The 47-Record is CONDITIONAL for an Entry Summary LINE ITEM Grouping. The 47-Record may be reported one time per line item. Do not submit if not required.

47-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	2AN	1-2	M	Always 47	
Manufacturer/Supplier Code	15AN	3-17	C	Identification of the manufacturer/supplier See CBP Directive 3500-13 (November 1986) for algorithm directions. When reported, left justify, space fill. Entirely space fill if not required/not reported.	
Filler	63S	18-80	M	Space fill.	

Tariff Grouping

The Tariff Grouping contains classification information of the article and is MANDATORY for an Entry Summary LINE ITEM Grouping. The Tariff/Value/Quantity Detail (50-Record) may be reported up to 8 times per Line Item.

Tariff/Value/Quantity Detail (Input 50-Record)

The 50-Record contains value, quantity, and duty information for a single tariff classification and is MANDATORY for a Tariff Grouping.

50-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	2AN	1-2	M	Always 50	
HTS Number	10AN	3-12	M	A numeric code from the Harmonized Tariff Schedule that fully or partially describes/classifies the article. Report the full 10-digit classification number unless a legitimate 8-digit- ONLY classification number applies.	
Filler	1S	13-13	M	Space fill.	
Duty Amount	10(S)N	14-23	M	The duty amount estimated for the article and/or specifically the HTS Number in U.S. dollars and cents. Two decimal places are implied. Report zero if no duty applies.	1
Filler	1S	24-24	M	Space fill.	
Value of Goods Amount	10(S)N	25-34	M	Value of the article, as associated to the HTS Number, reported in whole U.S. dollars. Report zero if not required/not reported.	



50-Record Data Element	Length/Class	Position	Desig	Description	Note
Filler	1S	35-35	M	Space fill.	
Quantity (1)	12(S)N	36-47	C	Total number of primary units, associated with the HTS Number that corresponds to UOM Code (1). Two decimal places are implied. Report zero if quantity not required/does not apply.	
Unit of Measure Code (1)	3AN	48-50	M	A unit of measure code that corresponds to the Quantity (1) as prescribed by the HTS Number reported. An 'X' (followed by two spaces) is an indication that the HTS Number (Tariff 1) does not require UOM (1).	
Quantity (2)	12(S)N or 12S	51-62	C	Total number of secondary units, associated with the HTS Number that corresponds to UOM Code (2). Two decimal places are implied. Space fill if quantity not required/does not apply.	
Unit of Measure Code (2)	3AN	63-65	C	A unit of measure code that corresponds to the Quantity (2) as prescribed by the HTS Number reported.. Space fill if not required.	
Quantity (3)	12(S)N or 12S	66-77	C	Total number of tertiary units, associated with the HTS Number that corresponds to UOM Code (3). Two decimal places are implied. Space fill if quantity not required/does not apply.	
Unit of Measure Code (3)	3AN	78-80	C	A unit of measure code that corresponds to the Quantity (3) as prescribed by the HTS Number reported. Space fill if not required.	

1) Report zeros if duty required, yet computes to less than \$0.01.

PGA Grouping

The PGA Grouping contains 'form' data relevant to another agency and is CONDITIONAL for a Tariff/Value/Quantity Detail.

PGA Disclaimer (Input OA-Record)

The OA-Record contains a list of those agencies whose form data has been determined not required by the Filer.

OA-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	2AN	1-2	M	Always OA	



OA-Record Data Element	Length/Class	Position	Desig	Description	Note
Filler	2S	3-4	M	Space fill.	
PGA Form Disclaimer Code (1)	3AN	5-7	M	A code that indicates an agency's form data is not required and has not been submitted. 'FC0' = Federal Communications Commission Form 740 does not apply. 'FDO' = Food and Drug Administration regulation does not apply. 'DT0' = Department of Transportation HS-7 record does not apply.	1
PGA Form Disclaimer Code (2)	3AN or 3S	8-10	C	An additional agency form disclaimer.	1
PGA Form Disclaimer Code (3)	3AN or 3S	11-13	C	An additional agency form disclaimer.	1
Filler	72S	14-80	M	Space fill.	

1) The PGA Form Disclaimer Codes may be reported in any order.

PGA Invoice Description (Input OI-Record)

The OI-Record contains the article's description found on the commercial invoice.

OI-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	2AN	1-2	M	Always OI	
Filler	8S	3-10	M	Space fill.	
Commercial Description Text	70AN	11-80	M	The commercial description as provided on the invoice line; reported per the other agency's instruction. Broad, generalized language is unacceptable, as are tariff descriptions. Complete commercial terminology, in the English language, is mandatory. Left justify.	

FCC Grouping

The FCC Grouping contains the Federal Communications Commission form 740 information (Statement Regarding the Importation of Radio Frequency Devices Capable of Causing Harmful Interference) when required for merchandise identified as containing radio frequency devices and is CONDITIONAL for a Tariff/Value/Quantity Detail. The 740 Header/740 Continuation (FC01-, FC02-Records) may be reported up to 999 times per Tariff/Value/Quantity Detail.

740 Header (Input FC01-Record)



The FC01-Record contains FCC form 740 information and is MANDATORY for an FCC Grouping.

FC01-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	4AN	1-4	M	Always FC01	
Import Condition Code	2AN	5-6	M	A code, assigned by FCC, which describes the intended use of the importation. See 'AE Table 12 – FCC Import Condition Codes' for a list of codes.	
Prior Approval Quantity Indicator	1A or 1S	7-7	C	An indication that prior approval has been granted by FCC to import more than 200 units when the Import Condition has been declared as '03'. Y = Prior approval granted by FCC. Space fill if not used; prior approval not granted by FCC.	
FCC Line Item Number	3N	8-10	M	Relative 'line' number of the FCC form (within the Tariff/Value/Quantity Detail). Start with 001 and ascend by +1 thereafter.	
FCC Identifier Code	17X	11-27	C	The article's identifying code assigned by FCC. Required when the Import Condition Code is '01'. Left justify; include hyphens.	
Trade Name Text	30X	28-57	M	The trading name of the product. Left justify.	
Model/Type Number	17X	58-74	M	A code or number identifying the product. Left justify.	
Filler	6S	75-80	M	Space fill.	

740 Continuation (Input FC02-Record)

The FC02-Record contains additional FCC form 740 information and is MANDATORY for an FCC Grouping.

FC02-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	4AN	1-4	M	Always FC02	
FCC Quantity Amount	12(S)N	5-16	M	Total number of items imported. (Note: Do not report the number of containers.)	
Public Inspection Withhold Request Indicator	1A or 1S	17-17	C	An indication that the Importer requests that the submitted information be withheld from public inspection. Y = Importer request to withhold information from public	



FC02-Record Data Element	Length/Class	Position	Desig	Description	Note
				inspection. Space fill if not used; public inspection allowed.	
Filler	63S	18-80	M	Space fill.	

Standard Visa Information (Input 51-Record)

The 51-Record contains information required for 'standard' Visa reporting. The 51-Record is CONDITIONAL for an Entry Summary LINE ITEM Grouping. The 51-Record may be reported one time per line item. Do not submit if not required.

51-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	2AN	1-2	M	Always 51	
Standard Visa Number	9AN	3-11	M	The identifying 'number' on the visa issued/furnished by the country of origin. Position 1-1 = Year of export (decade excluded). Position 2-3 = Standard Country of Origin ISO Code. Position 4-9 = Identifying/sequence number.	1
Filler	69S	12-80	M	Space fill.	

1) This record is reserved for a standard visa number. A non-standard visa number, such as an African Growth and Opportunity Act (AGOA) Textile provision number, is to be reported in the License/Certificate/Permit Information (52-Record).

License/Certificate/Permit Grouping

The License/Certificate/Permit Grouping contains special use import information and is CONDITIONAL for an Entry Summary LINE ITEM Grouping. The License/Certificate/Permit Detail (52-Record) may be reported up to 99 times per Line Item. **Note: 1 time per Line Item, until such time that the automated environment supports the larger groupings.**

License/Certificate/Permit Information (Input 52-Record)

The 52-Record contains a reference to a *single* special use license, certificate, or other permit required for import. The 52-Record is MANDATORY for a License/Certificate/Permit Grouping. Do not submit if not required.

52-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	2AN	1-2	M	Always 52	
License / Certificate / Permit Type Code	2AN	3-4	M	A code that identifies the license, certificate, permit, or 'non-standard' visa number reported:	



52-Record Data Element	Length/ Class	Position	Desig	Description	Note
				01 = Steel Import License 02 = Singapore TPL Certificate 03 = Canadian NAFTA TPL Certificate 04 = Mexican NAFTA TPL Certificate 05 = Beef Export Certificate 06 = Diamond Certificate 07 = Andean Drug Partnership Drug Eradication Act (ATPDEA) Certificate (HTS 98211119) 08 = Australia Free Trade Export Certificate 09 = Mexican Cement Import License 10 = CAFTA TPL Certificate 11 = Canadian Softwood Lumber Export Permit 12 = Cotton Shirting Fabric License 13 = Haiti 'Hope' Import Permit 14 = Agricultural License 15 = Canadian Softwood Lumber Permit (note: 1990's program; no longer active) 16 = Canadian Export Sugar Certificate 17 = Wool License 18 = Caribbean Basin Trade Partnership Act (CBTPA) Certification 19 = African Growth and Opportunity Act (AGOA) Textile Provision Number 20 = Other 'Non-Standard' Visa	
License Number / Certificate Number / Permit Number	10X	5-14	M	The identifying number or code as provided on the license, certificate, permit, or non-standard visa that corresponds to the Type Code. Left justify, space fill.	1
<i>Reserved filler for future expansion of the Number when fully ACE compatible.</i>	10S	15-24	M	Space fill until expanded length fully supported.	
Filler	64S	25-80	M	Space fill.	

) See 'License, Certificate, and Permit Report' in the Usage Notes section below for the individual formatting rules of each type of number, where applicable.

ADD/CVD Case Grouping

The ADD/CVD Case Grouping contains antidumping and countervailing case information and is CONDITIONAL for an Entry Summary LINE ITEM Grouping. The ADD/CVD Case Detail (53-Record) may be reported up to 2 times per Line Item.

ADD/CVD Case Detail (Input 53-Record)



The 53-Record contains a reference to a *single* antidumping or countervailing case. The 53-Record is MANDATORY for a ADD/CVD Case Grouping. Do not submit if not required. **Note:** Only records relevant to types '01' and '11' are supported at this time. This record shall not be accepted.

53-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	2AN	1-2	M	Always 53	
Case Type Indicator	1AN	3-3	M	A code that identifies the type of case information reported: 1 = Antidumping case information. 2 = Countervailing case information.	1
Case Number	10AN	4-13	M	The identifying number of the case. Do not include hyphens ('-').	
Case Duty Amount	10(S)N	14-23	M	The duty amount estimated relevant to the case in U.S. dollars and cents. Two decimal places are implied.	2
Case Deposit Rate	5(S)N	24-28	C	The applicable deposit rate. Four decimal places are implied. Zero fill if there is no rate.	
Case Specific Deposit Value	10(S)N	29-38	C	Value of the article, to be used exclusively and in lieu of any other article value for the specific purpose of reporting any estimated antidumping or countervailing duty, reported in whole U.S. dollars. Zero fill if there is no specific deposit value.	
Case Bonded Indicator	1AN	39-39	C	An indication that the ADD/CVD Surety has underwritten the bond liability for the revenue relevant to the case. Y = Revenue is under bond. Space fill if not used; revenue is not bonded.	
ADD/CVD Surety Code	3AN	63-65	C	Identification of the Surety company that has underwritten the bond liability for the ADD/CVD revenue. Space fill if not used.	
Filler	6S	40-80	M	Space fill.	

- 1) Cases may be reported in any order.
- 2) Report zeros if duty is required, yet computes to less than \$0.01.

IR Tax Information (Input 60-Record)



The 60-Record contains information required for Internal Revenue (IR) Tax reporting. The 60-Record is CONDITIONAL for an Entry Summary LINE ITEM Grouping. The 60-Record may be reported one time per line item. Do not submit if not required.

60-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	2AN	1-2	M	Always 60	
IR Tax Amount	10(S)N	3-12	M	The Internal Revenue tax amount estimated for the article in U.S. dollars and cents. Two decimal places are implied.	1
Filler	68S	13-80	M	Space fill.	

1) Report zero if tax is required, yet computes to less than \$0.01.

Line User Fee Grouping

The Line User Fee Grouping contains information regarding line level user fees and is CONDITIONAL for an Entry Summary LINE ITEM Grouping. The Line User Fee Detail (62-Record) may be reported up to 9 times per Line Item. **Note: 5 times per Line Item, until such time that the automated environment supports the larger groupings.**

Line User Fee Detail (Input 62-Record)

The 62-Record contains user fee amounts and is MANDATORY for a Line User Fee Grouping.

62-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	2AN	1-2	M	Always 62	
Accounting Class Code	3AN	3-5	M	CBP accounting classification code representing a specific fee type. See 'AE Table 6 – User Fee Accounting Class Codes' for a list those codes supported at the Entry Summary line level.	2, 3
User Fee Amount	8(S)N	6-13	M	The applicable fee amount in U.S. dollars and cents. Two decimal places are implied.	1
Filler	67S	14-80	M	Space fill.	

- 1) Report zeros if an applicable fee is required, yet computes to less than \$0.01.
- 2) Fees may be reported in any Accounting Class order, if more than one line level fee applies.
- 3) When reported, must also be reflected in the 89-Record Fee Total Detail.

Census Warning Condition Override Information (Input CW02-Record)

The CW02-Record contains information. The CW02-Record is CONDITIONAL for an Entry Summary LINE ITEM Grouping. The CW02-Record may be reported one time per line item. Do not submit if not required.

CW02-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	4AN	1-4	M	Always CW02	
Filler	5S	5-9	M	Space fill.	



CW02-Record Data Element	Length/ Class	Position	Desig	Description	Note
Census Warning Condition Code (1)	3AN	10-12	M	The anticipated ACE generated code that identifies the Census warning condition.	1, 2
Census Warning Condition Override Code(1)	2AN	13-14	M	A code that identifies the reason that the submitted data values caused the Census warning condition; the override code.	1, 2
Census Warning Condition Code (2)	3AN	15-17	C	An additional condition and override code. Space fill if not used.	1, 2
Census Warning Condition Override Code (2)	2AN	18-19			
Census Warning Condition Code (3)	3AN	20-22	C	An additional condition and override code. Space fill if not used.	1, 2
Census Warning Condition Override Code (3)	2AN	23-24			
Census Warning Condition Code (4)	3AN	25-27	C	An additional condition and override code. Space fill if not used.	1, 2
Census Warning Condition Override Code (4)	2AN	28-29			
Census Warning Condition Code (5)	3AN	30-32	C	An additional condition and override code. Space fill if not used.	1, 2
Census Warning Condition Override Code (5)	2AN	33-34			
Census Warning Condition Code (6)	3AN	35-37	C	An additional condition and override code. Space fill if not used.	1, 2
Census Warning Condition Override Code (6)	2AN	38-39			
Census Warning Condition Code (7)	3AN	40-42	C	An additional condition and override code. Space fill if not used.	1, 2
Census Warning Condition Override Code (7)	2AN	43-44			
Filler	36S	45-80	M	Space fill.	



) See 'AE Table 10 – Census Warning Condition Override Codes' and 'AE Table 11 – Census Warning Condition / Census Override Combinations' for a list of codes supported in this transaction.

2) The override conditions may be reported in any order.

Entry Summary TOTALS Grouping

The Entry Summary TOTALS Grouping contains information regarding revenue subtotals and grand totals and is CONDITIONAL for an Entry Summary TRANSACTION Grouping. Do NOT transmit if NOT required. The grouping is MANDATORY if the 10-Record Summary Filing Action Request Code is an **A** (Add) or **R** (Replace). The grouping is NOT ALLOWED if the 10-Record Summary Filing Action Request Code is a **D** (Delete).

ADD/CVD Duty Totals (Input 88-Record)

The 88-Record contains ADD/CVD duty subtotals and is CONDITIONAL for an Entry Summary TOTALS Grouping. Do not submit if not required. **Note: Only records relevant to types '01' and '11' are supported at this time. This record shall not be accepted.**

88-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	2AN	1-2	M	Always 88	
Total Bonded ADD Duty Amount	11(S)N	3-13	C	Total bonded ADD duty amount for Entry Summary. Two decimal places are implied. Zero fill if not applicable.	
Filler	1S	14-14	M	Space fill.	
Total Payable ADD Duty Amount	11(S)N	15-25	C	Total payable ADD duty amount for Entry Summary. Two decimal places are implied. Zero fill if not applicable.	
Filler	1S	26-26	M	Space fill.	
Total Bonded CVD Duty Amount	11(S)N	27-37	C	Total bonded CVD duty amount for Entry Summary. Two decimal places are implied. Zero fill if not applicable.	
Filler	1S	38-38	M	Space fill.	
Total Payable CVD Duty Amount	11(S)N	39-49	C	Total payable CVD duty amount for Entry Summary. Two decimal places are implied. Zero fill if not applicable.	
Filler	31S	50-80	M	Space fill.	

Fee Total Grouping



The Fee Total Grouping contains user fee subtotals and is **CONDITIONAL** for an Entry Summary TOTALS Grouping. The Fee Total Detail (89-Record) may be reported up to 9 times. A Fee Total Grouping is **REQUIRED** when one or more fees have been reported in the Entry Summary Header Fees (34-Record) or Line User Fee Detail (62-Record).

Fee Total Detail (Input 89-Record)

The 89-Record contains Class Code and revenue subtotals for user fees and is **MANDATORY** for a Fee Total Grouping.

89-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	2AN	1-2	M	Always 89	
Accounting Class Code (1)	3N	3-5	M	CBP accounting classification code representing a specific fee type previously reported at the Entry Summary Header Fee (34-Record) or Line User Fee (62-Record) level.	1, 2, 3
Total Fee Amount (1)	11(S)N	6-16	M	Total estimated fee amount that corresponds to Accounting Class Code (1) in U.S. dollars and cents. Two decimal places are implied.	
Accounting Class Code (2)	3AN	17-19	C	An additional fee total class and total estimated fee amount that corresponds to the Accounting Class Code in U.S. dollars and cents. Space fill if not required/not reported.	1, 2, 3
Total Fee Amount (2)	11(S)N or 11S	20-30			
Accounting Class Code (3)	3AN	31-33	C	An additional fee total class and total estimated fee amount that corresponds to the Accounting Class Code in U.S. dollars and cents. Space fill if not required/not reported.	1, 2, 3
Total Fee Amount (3)	11(S)N or 11S	34-44			
Accounting Class Code (4)	3AN	45-47	C	An additional fee total class and total estimated fee amount that corresponds to the Accounting Class Code in U.S. dollars and cents. Space fill if not required/not reported.	1, 2, 3
Total Fee Amount (4)	11(S)N or 11S	48-58			
Accounting Class Code (5)	3AN	59-61	C	An additional fee total class and total estimated fee amount that corresponds to the Accounting Class Code in U.S. dollars and cents. Space fill if not required/not reported.	1, 2, 3
Total Fee Amount (5)	11(S)N or 11S	62-72			
Filler	8S	73-80	M	Space fill.	

1) See 'AE Table 6 – User Fee Accounting Class Codes' for a list those codes supported in this transaction.

2) The fee totals may be reported in any Accounting Class order.



3) The *minimum* or *maximum* Merchandise Processing Fee (MPF) owed CBP is to conditionally be reported for a 499 Accounting Class. See the Usage Notes for more information.

Grand Totals (Input 90-Record)

The 90-Record contains revenue grand totals. The 90-Record is **CONDITIONAL** for an Entry Summary HEADER Grouping. The 90-Record may be reported one time per summary.

90-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	2AN	1-2	M	Always 90	
Grand Total Duty Amount	11(S)N	3-13	C	Grand total estimated duty amount. Two decimal places are implied. Report zeroes if no duty applies.	
Filler	1S	14-14	M	Space fill.	
Grand Total User Fee Amount	11(S)N	15-25	C	Grand total estimated user fee amount. Two decimal places are implied. Report zeroes if no user fee applies.	
Filler	1S	26-26	M	Space fill.	
Grand Total IR Tax Amount	11(S)N	27-37	C	Grand total estimated IR tax amount. Two decimal places are implied. Report zeroes if no IR tax applies.	
Filler	1S	38-38	M	Space fill.	
Grand Total ADD Duty Amount	11(S)N	39-49	C	Grand total estimated ADD duty amount. Two decimal places are implied. Report zeroes if no ADD duty applies.	
Filler	1S	50-50	M	Space fill.	
Grand Total CVD Duty Amount	11(S)N	51-61	C	Grand total estimated CVD duty amount. Two decimal places are implied. Report zeroes if no CVD duty applies.	
Filler	21S	62-80	M	Space fill.	



Entry Summary Filing - Usage Notes

The following sub-sections contain information regarding the filing and correction of an Entry Summary.

a) Entry Summary Filing Actions

To establish and maintain an Entry Summary, three 'actions' are provided (Summary Filing Action Request Code). With one exception, the **A** (Add) and **R** (Replace) actions can be used interchangeably.

Use the **A** (Add) action to:

- Establish an initial Entry Summary.
- To replace (i.e., re-add) an existing Entry Summary when the initial filing of the Entry Summary included a Broker Reference Number (10-Record). The Broker Reference Number specified in the replace transaction **MUST** match exactly that reported in the initial filing.

Use the **R** (Replace) action to:

- Establish an initial Entry Summary.
- To replace an existing Entry Summary without checking that the initial Broker Reference Number, if any, matches the replacement Broker Reference Number, if any.

Use the **D** (Delete) action to:

- Delete/remove an existing Entry Summary.

An Entry Summary that has been formally 'accepted' by CBP can **ONLY** be replaced (**NEVER** deleted):

- After payment has been made and a CBP Officer has placed the Entry Summary in a 'rejected' state.

Otherwise, an Entry Summary that has been formally 'accepted' by CBP can be neither replaced nor deleted:

Additional considerations:

- The Entry Number can be reused after 120 calendar months (10 years) from the date of liquidation.

b) Data Elements Required in Every Filing Scenario

The following 10-Record data elements are required in **EVERY** filing scenario (Add, Replace, or Delete):

Control ID	Data Element	Reporting
	Entry Type Code - ALL	
10	Summary Filing Action Request Code	M
10	Record District/Port of Entry	M
10	Entry Filer Code	M



Control ID	Data Element	Reporting
10	Entry Number	M
10	Entry Type Code	M

Reporting Key: **M** = Mandatory

c) Header/Totals Data Elements Required to Establish or Correct an Entry Summary

The following table describes the HEADER Grouping & TOTALS Grouping data elements required to Add or Replace an Entry Summary (regardless of **Entry Type Code**).

Control ID	Data Element	Reporting
	Entry Type Code - ALL	
11	Importer of Record Number	M
90	Grand Total Duty Amount (report \$0.00 if duty free)	M
90	Grand Total User Fee Amount (report \$0.00 if fee free)	M
90	Grand Total Tax Amount (report \$0.00 if tax free)	M
90	Grand Total ADD Duty Amount (report \$0.00 if ADD duty free)	M
90	Grand Total CVD Duty Amount (report \$0.00 if CVD duty free)	M

Reporting Key: **M** = Mandatory

d) Line Data Elements Required to Establish or Correct an Entry Summary

The following table describes the LINE ITEM Grouping data elements required to Add or Replace an Entry Summary (ONLY for those **Entry Type Codes** that require a Line Item).

Control ID	Data Element	Reporting
	Entry Type Code – ALL –Except for 04 (Appraisalment) & 05 (Vessel Repair)	
40	Country of Origin Code	M
50	HTS Number	M
50	Unit of Measure Code (1)	M

Reporting Key: **M** = Mandatory



e) Entry Type Data Reporting Considerations

The following table describes the various reporting requirements for those *common* data elements (or record/grouping) where **Entry Type Code** plays a role (when establishing or correcting an Entry Summary). **Note:** This table does not reference all of the data elements; see further sections for additional directions and guidelines. A number following the requirement designator in a cell points to a further 'note'.

Note: Only types '01' and '11' are supported at this time. Additional Entry Types shall be made available in the future.

Control ID	Data Element or (Record/Grouping) Name	01 — Consumption 02 — Quota/Visa 03 — ADD/C/D 04 — Appraisement 05 — Vessel Repair 06 — FTZ 07 — Quota+ADD/C/D 11 — Informal 12 — Informal+Quota 21 — Warehouse 22 — Re-Warehouse 23 — TIB 31 — M/D 32 — M/D+Quota 34 — M/D+ADD/C/D 38 — M/D+Quota+ADD/C/D 51 — DCASB 52 — Government																	
		01	02	03	04	05	06	07	11	12	21	22	23	31	32	34	38	51	52
10	MOT Code	M	M	M	A	A	A	M	A	A	M	M	M	A	A	A	A	M	M
10	Bond Type Code	M	M	M	M	M	M	M	A	A	M	M	M	M	M	M	M	M	M
10	Deferred Tax Payment Code	A	A	A	A	A	A	A	A	N	N	A	A	A	A	A	A	A	A
10	NAFTA Reconciliation Indicator	A	A	N	N	N	A	N	N	N	N	N	N	N	N	N	N	N	N
10	Reconciliation Issue Code	A	A	N	N	N	A	N	N	N	N	N	N	N	N	N	N	N	N
11	Ultimate Consignee Number	A	A	A	A	A	A	A	A	A	A	A	A	A	A	A	A	A	A
11	Surety Code	M	M	M	C	M	M	M	C	C	M	M	C	M	M	M	M	C	C
11	Estimated Entry Date	A	A	A	A	A	A	A	A	A	A	M	A	M	M	M	M	A	A
11	Date of Importation	C	C	A	A	A	C	A	A	A	M	M	M	A	A	A	A	A	A
11	Foreign Trade Zone Identifier	N	N	N	N	N	M	N	N	N	N	N	N	N	N	N	N	N	N
11	U.S. State of Destination Code	M	M	M	M	M	M	M	A	A	M	M	M	M	M	M	M	M	M
20	District/Port of Unlading	C	C	C	C	C	A	C	C	C	C	C	C	C	C	C	C	C	C
20	Location of Goods Code	A	A	A	A	A	M	A	A	A	A	A	A	A	A	A	A	A	A
22	(Cargo Manifest Grouping)	C	C	C	C	C	N	C	C	C	C	C	N	N	N	N	C	C	
30	(Warehouse Withdrawal Information)	N	N	N	N	N	N	N	N	N	N	N	N	M	M	M	M	N	N
32	(Consolidated Release Grouping)	A	N	N	N	N	A	N	A	N	N	N	N	N	N	N	N	N	N
40	(Line Item Grouping)	M	M	M	N	N	M	M	M	M	M	M	M	M	M	M	M	M	M
40	Country of Export Code	M	M	M	N	N	A	M	M	M	M	M	M	M	M	M	M	M	M
40	Date of Exportation	M	M	M	N	N	A	M	M	M	M	M	M	M	M	M	M	M	M
40	Related Party Indicator	M	M	M	N	N	M	M	A	A	M	M	M	M	M	M	M	M	M



Control ID	Data Element or (Record/Grouping) Name	04 - Consumption																
		02 - Quota - Visa	03 - ADD/CVD	04 - Appraisalment	05 - Vessel Repair	06 - FTZ	07 - Quota+ADD/CVD	11 - Informal	12 - Informal+Quota	21 - Warehouse	22 - Re-Warehouse	23 - TIB	31 - M/D	32 - M/D+Quota	34 - M/D+ADD/CVD	38 - M/D+Quota+ADD/CVD	51 - DCASB	52 - Government
40	Charges Amount	C3	C3	C3	N	N	C3	C3	A3	A3	C3	C3	C3	C3	C3	C3	C3	C3
41	(FTZ Status Information)	N	N	N	N	N	M	N	N	N	N	N	N	N	N	N	N	N
47	Manufacturer/Supplier Code	M	M	M	N	N	M	M	A	A	M	C5	C5	M	M	M	M	M
53	(ADD/CVD Case Information)	N	N	A	N	N	A	A	N	N	A	A	N	N	N	A	A	N
88	(ADD/CVD Totals)	N	N	M	N	N	A	M	N	N	A	A	N	N	N	M	M	N

Reporting Key: **M** = Mandatory, **C** = Conditionally Required, **A** = Allowed, **N** = NOT Allowed

Notes:

- (1) See 'Bond & Surety Reporting' below.
- (2) MOT dependant; see 'Transportation Data Reporting' below.
- (3) See 'Article Charges' below.
- (4) See 'Claiming Future Reconciliation' below.
- (5) Required when either *originating* or *exporting* country is Canada.
- (6) May be reported in an all-zero format when an Informal or when a Consolidating Summary.

f) Party Reporting

The following sections describe the formatting rules for the Importer of Record, Ultimate Consignee, Designated Notify (4811) Party, and the Manufacturer/Supplier.

Importer of Record

The U.S. party or other entity (individual or firm) liable for payment of all duties and meeting all statutory and regulatory requirements incurred as a result of importation.

The Importer of Record is required in all filings and must be formatted in one of the following ways:

- As a SSN: '**NNN-NN-NNNNb**' (Where 'N' is numeric and 'b' is a space).
- As an IRS Number with NO suffix: '**NN-NNNNNNbb**' (Where 'N' is numeric and 'b' is a space).
- As an IRS Number with a suffix: '**NN-NNNNNNss**' (Where 'N' is numeric and 's' is A-Z, 0-9 [neither of the positions of the suffix can be space]).
- As a CBP assigned identifier: '**YYDDPP-NNNNN**' (Where 'YY' is calendar year when the number was assigned, 'DDPP' is the district/port where the number was assigned, and 'N' is numeric).

The Importer of Record must be known to CBP (as established via an electronic CF5106 or a manually recorded record by a CBP officer).



Ultimate Consignee

The U.S. party or other entity to whom the overseas shipper sold the imported merchandise.

While the Ultimate Consignee should be reported on the electronic Entry Summary, it is NOT strictly required in all filing scenarios. When present, however, the Ultimate Consignee Number must be formatted in one of the following ways:

- As a SSN: **'NNN-NN-NNNNb'** (Where 'N' is numeric and 'b' is a space).
- As an IRS Number with NO suffix: **'NN-NNNNNNNNbb'** (Where 'N' is numeric and 'b' is a space).
- As an IRS Number with a suffix: **'NN-NNNNNNNNss'** (Where 'N' is numeric and 's' is A-Z, 0-9 [neither of the positions of the suffix can be space]).
- As a CBP assigned identifier: **'YYDDPP-NNNNN'** (Where 'YY' is calendar year when the number was assigned, 'DDPP' is the district/port where the number was assigned, and 'N' is numeric).

When reported at the header level, the Ultimate Consignee should be known to CBP (as established via an electronic CF5106). When reported the Ultimate Consignee must be known to CBP when the District/Port of Entry is considered as a 'cargo selectivity/targeting' port.

Designated Notify (4811) Party

The U.S. party or other entity (individual or firm) to whom refunds, bills, or notices of extension of suspension of liquidation are to be sent (if other than the Importer of Record).

When present Designated Notify (4811) Number must be formatted in one of the following ways:

- As a SSN: **'NNN-NN-NNNNb'** (Where 'N' is numeric and 'b' is a space).
- As an IRS Number with NO suffix: **'NN-NNNNNNNNbb'** (Where 'N' is numeric and 'b' is a space).
- As an IRS Number with a suffix: **'NN-NNNNNNNNss'** (Where 'N' is numeric and 's' is A-Z, 0-9 [neither of the positions of the suffix can be space]).
- As a CBP assigned identifier: **'YYDDPP-NNNNN'** (Where 'YY' is calendar year when the number was assigned, 'DDPP' is the district/port where the number was assigned, and 'N' is numeric).

When reported, the Designated Notify (4811) Party should be known to CBP (as established via an electronic CF5106 and manually input CF4811).

The Designated Notify (4811) Party is never requirement; it is allowed to be reported on any Add or Replace action for any Entry Type.

Manufacturer/Supplier

At the article/line level, the party or other entity (individual or firm) that manufactured or supplied the article for export.

When present, the Manufacturer/Supplier Code (or MID) should be formatted in a manner consistent with the algorithm described in CBP Directive 3500-13 (November 1986).



- The ID must be at least eight characters in length, must consist of uppercase characters A-Z and numerals 0-9, and must not contain any embedded spaces.
- The first two characters must be a known ISO Country Code (or a Canadian Province code found in 'AE Table 5 – Canadian Province Codes').
- For formal entries, if the Country of Export is Canada, the MID prefix must be a Canadian Province code found in 'AE Table 5 – Canadian Province Codes'.

g) Transportation Data Reporting

The following tables describe the transport mode specific reporting requirements for various transportation related data elements.

Vessel Shipments

When MOT = 10, 11

Control ID/Data Element	Reporting	Vessel Shipment Notes
20-Record Carrier Code	M	Report a valid SCAC issued by NMFTA. If the code as known at the time of filing is not valid in CBP's automated system and a valid code cannot be obtained from the carrier, as a last resort, report the carrier as 'UNKN'.
20-Record District/Port of Unlading	M	Must be a known U.S. port that supports vessel cargo operations.
20-Record Location of Goods Code	A	When reported, must be an active cargo location FIRMS Code.
20-Record Conveyance Name	M	Report the identity of the conveyance, supplied by the Carrier.
20-Record Vessel Code	A	If known, report the Lloyd's Code of the vessel.
20-Record In-Bond/In-Transit Date	C	Required if In-Bond/In-Transit Number reported in a Cargo Manifest Detail.
21-Record Trip Identifier	A	Not required for Entry Summary acceptance; may be required to 'Certify for Cargo Release'. Report the identity of the trip or manifest, if any, supplied by the Carrier.
22-Record (Cargo Manifest Detail) reporting	M	Report in-bond/in-transit movement and vessel bill of lading information at the lowest bill level. (See 'Reporting Cargo Manifest Detail' below.) May be required to 'Certify for Cargo Release'. Report as many 22-Records as needed to account for all applicable in-bond movements/bills of lading.
40-Record Foreign Port of Lading Code	M	Report a known 'Schedule K – Classification of Foreign Ports by Geographic Trade Area and Country' code.

Reporting Key: **M** = Mandatory, **C** = Conditionally Required, **A** = Allowed, **N** = NOT Allowed



Border Water-Borne Shipments

When MOT = 12

Control ID/Data Element	Reporting	Border Water-Borne Shipment Notes
20-Record Carrier Code	A	When reported, should be a valid SCAC issued by NMFTA. If the code as known at the time of filing is not valid in CBP's automated system and a valid code cannot be obtained from the carrier, as a last resort, report the carrier as 'UNKN'.
20-Record District/Port of Unlading	A	When reported, must be a known U.S. port that supports cargo operations.
20-Record Location of Goods Code	A	When reported, must be an active cargo location FIRMS Code.
20-Record Conveyance Name	A	Not required for Entry Summary acceptance; may be required to 'Certify for Cargo Release'. Report the identity of the conveyance, if any, supplied by the Carrier.
20-Record Vessel Code	N	Never allowed.
20-Record In-Bond/In-Transit Date	C	Required if In-Bond/In-Transit Number reported in a Cargo Manifest Detail.
21-Record Trip Identifier	A	Not required for Entry Summary acceptance; may be required to 'Certify for Cargo Release'. Report the identity of the trip or manifest, if any, supplied by the Carrier.
22-Record (Cargo Manifest Detail) reporting	A	Report in-bond/in-transit movement and bill of lading information at the lowest bill level. (See 'Reporting Cargo Manifest Detail' below.) May be required to 'Certify for Cargo Release'. Report as many 22-Records as needed to account for all applicable in-bond movements/bills of lading.
40-Record Foreign Port of Lading Code	M	Report a Canadian or Mexican 'Schedule K – Classification of Foreign Ports by Geographic Trade Area and Country' code.

Reporting Key: **M** = Mandatory, **C** = Conditionally Required, **A** = Allowed, **N** = NOT Allowed

Rail Shipments

When MOT = 20, 21

Control ID/Data Element	Reporting	Rail Shipment Notes
20-Record Carrier Code	A	When reported, should be a valid SCAC issued by NMFTA. If the code as known at the time of filing is not valid in CBP's automated system and a valid code cannot be obtained from the carrier, as a last resort, report the carrier as 'UNKN'.
20-Record District/Port of Unlading	A	When reported, must be a known U.S. port that supports cargo operations.
20-Record Location of Goods Code	A	When reported, must be an active cargo location FIRMS Code.
20-Record Conveyance Name	A	Not required for Entry Summary acceptance; may be required to 'Certify for Cargo Release'. Report the identity of the conveyance, if any, supplied by the



Control ID/Data Element	Reporting	Rail Shipment Notes
		Carrier.
20-Record Vessel Code	N	Never allowed.
20-Record In-Bond/In-Transit Date	C	Required if In-Bond/In-Transit Number reported in a Cargo Manifest Detail.
21-Record Trip Identifier	A	Not required for Entry Summary acceptance; may be required to 'Certify for Cargo Release'. Report the identity of the trip or manifest, if any, supplied by the Carrier.
22-Record (Cargo Manifest Detail) reporting	A	Report in-bond/in-transit movement and rail bill of lading information at the lowest bill level. (See 'Reporting Cargo Manifest Detail' below.) May be required to 'Certify for Cargo Release'. Report as many 22-Records as needed to account for all applicable in-bond movements/bills of lading.
40-Record Foreign Port of Lading Code	A	If known, report a known 'Schedule K – Classification of Foreign Ports by Geographic Trade Area and Country' code.

Reporting Key: **M** = Mandatory, **C** = Conditionally Required, **A** = Allowed, **N** = NOT Allowed

Truck Shipments

When MOT = 30, 31

Control ID/Data Element	Reporting	Truck Shipment Notes
20-Record Carrier Code	A	When reported, should be a valid SCAC issued by NMFTA. If the code as known at the time of filing is not valid in CBP's automated system and a valid code cannot be obtained from the carrier, as a last resort, report the carrier as 'UNKN'.
20-Record District/Port of Unlading	A	When reported, must be a known U.S. port that supports cargo operations.
20-Record Location of Goods Code	A	When reported, must be an active cargo location FIRMS Code.
20-Record Conveyance Name	A	Not required for Entry Summary acceptance; may be required to 'Certify for Cargo Release'. Report the identity of the conveyance, if any, supplied by the Carrier.
20-Record Vessel Code	N	Never allowed.
20-Record In-Bond/In-Transit Date	C	Required if In-Bond/In-Transit Number reported in a Cargo Manifest Detail.
21-Record Trip Identifier	A	Not required for Entry Summary acceptance; may be required to 'Certify for Cargo Release'. Report the identity of the trip or manifest, if any, supplied by the Carrier.
22-Record (Cargo Manifest Detail) reporting	A	Report in-bond/in-transit movement and truck pro-bill information at the lowest bill level. (See 'Reporting Cargo Manifest Detail' below.) May be required to 'Certify for Cargo Release'. Report as many 22-Records as needed to account for all applicable in-bond movements/bills of lading.



Control ID/Data Element	Reporting	Truck Shipment Notes
40-Record Foreign Port of Lading Code	A	If known, report a known 'Schedule K – Classification of Foreign Ports by Geographic Trade Area and Country' code.

Reporting Key: **M** = Mandatory, **C** = Conditionally Required, **A** = Allowed, **N** = NOT Allowed

Air Shipments

When MOT = 40, 41

Control ID/Data Element	Reporting	Air Shipment Notes
20-Record Carrier Code	M	Report a valid 2-character code issued by IATA, if known. If the code as known at the time of filing is not valid in CBP's automated system and a valid code cannot be obtained from the carrier, as a last resort, report one of the following: *F = Unknown foreign air carrier. *U = Unknown U.S. air carrier. *C = Unknown Canadian air carrier. Finally, for 'fly in aircraft' classified under HTS 8802, report ***.
20-Record District/Port of Unlading	M	Must be a known U.S. port that supports air cargo operations.
20-Record Location of Goods Code	A	When reported, must be an active cargo location FIRMS Code.
20-Record Conveyance Name	A	Not required for Entry Summary acceptance; may be required to 'Certify for Cargo Release'. Report the identity of the conveyance (e.g., tail number), if any, supplied by the Carrier.
20-Record Vessel Code	N	Never allowed.
20-Record In-Bond/In-Transit Date	C	Required if In-Bond/In-Transit Number reported in a Cargo Manifest Detail.
21-Record Trip Identifier	A	Not required for Entry Summary acceptance; may be required to 'Certify for Cargo Release'. Report the flight number, if any, supplied by the Carrier. If reported, the flight number should be formatted as: 'NNNbb', 'NNNNb', 'NNNAb', or 'NNNNA' (where 'N' is numeric, 'b' is a space, and 'A' is alphabetic).
22-Record (Cargo Manifest Detail) reporting	M	Report in-bond/in-transit movement and air waybill information at the lowest bill level. (See 'Reporting Cargo Manifest Detail' below.) May be required to 'Certify for Cargo Release'. Report as many 22-Records as needed to account for all applicable in-bond movements/bills of lading.
40-Record Foreign Port of Lading Code	A	If known, report a known 'Schedule K – Classification of Foreign Ports by Geographic Trade Area and Country' code.

Reporting Key: **M** = Mandatory, **C** = Conditionally Required, **A** = Allowed, **N** = NOT Allowed



All Other Shipments (Known Modes)

When MOT = 32 (Auto), 33 (Pedestrian), 34 (Road, Other), 50 (Mail), 60 (Passenger, Hand Carried), 70 (Fixed Transport)

Control ID/Data Element	Reporting	Other Shipment Notes
20-Record Carrier Code	A	When reported, should be a valid SCAC issued by NMFTA. If the code as known at the time of filing is not valid in CBP's automated system and a valid code cannot be obtained from the carrier, as a last resort, report the carrier as 'UNKN'.
20-Record District/Port of Unlading	A	When reported, must be a known U.S. port that supports cargo operations.
20-Record Location of Goods Code	A	When reported, must be an active cargo location FIRMS Code.
20-Record Conveyance Name	A	
20-Record Vessel Code	N	Never allowed.
20-Record In-Bond/In-Transit Date	C	Required if In-Bond/In-Transit Number reported in a Cargo Manifest Detail.
21-Record Trip Identifier	A	
22-Record (Cargo Manifest Detail) reporting	A	Report in-bond/in-transit movement and bill of lading information at the lowest bill level. (See ' <i>Reporting Cargo Manifest Detail</i> ' below.) May be required to 'Certify for Cargo Release'. Report as many 22-Records as needed to account for all applicable in-bond movements/bills of lading.
40-Record Foreign Port of Lading Code	A	If known, report a known ' <i>Schedule K – Classification of Foreign Ports by Geographic Trade Area and Country</i> ' code.

Reporting Key: **M** = Mandatory, **C** = Conditionally Required, **A** = Allowed, **N** = NOT Allowed

MOT Not Required/Not Reported

When MOT not required for Entry Type and not reported:

Control ID/Data Element	Reporting	MOT Not Reported Notes
20-Record Carrier Code	A	When reported, should be a valid SCAC issued by NMFTA. If the code as known at the time of filing is not valid in CBP's automated system and a valid code cannot be obtained from the carrier, as a last resort, report the carrier as 'UNKN'.
20-Record District/Port of Unlading	A	When reported, must be a known U.S. port that supports cargo operations.
20-Record Location of Goods Code	A	When reported, must be an active cargo location FIRMS Code.
20-Record Conveyance Name	A	
20-Record Vessel Code	N	Never allowed.
20-Record In-Bond/In-Transit Date	N	Never allowed.



Control ID/Data Element	Reporting	MOT Not Reported Notes
21-Record Trip Identifier	A	
22-Record (Cargo Manifest Detail) reporting	N	Never allowed.
40-Record Foreign Port of Lading Code	A	If known, report a known 'Schedule K – Classification of Foreign Ports by Geographic Trade Area and Country' code.

Reporting Key: **M** = Mandatory, **C** = Conditionally Required, **A** = Allowed, **N** = NOT Allowed

h) Reporting Cargo Manifest Detail

The following tables describe the transport mode specific reporting requirements for various transportation related data elements. Use the 23-Record Manifest Component Type Code to describe the manifest component in the Cargo Manifest Detail.

In-Bond/In-Transit Number

When reported as 23-Record Manifest Component Type Code = 'I' the Manifest Component Identifier is a reference to a transportation movement. The In-Bond/In-Transit Number must be formatted in one of the following ways:

- As a Paperless Master In-Bond Number:

```

+----- In-Bond Participant Identifier
| +----- Sequence
| | +-- Check Digit
V V V
VXXNNNNNNNNb
    
```

(Where 'V' is the constant "V", 'X' is any character, 'N' is numeric, and 'b' is a space).

- The 'VXX' portion of the number must be the identifier of a known Paperless Master In-Bond program participant.
- The check digit (position eleven) must be computed using the formula found in 'AE Table 1 – Check Digit Computation Formula'.

- As an Air Waybill (AWB) Number:

```

+----- Air Waybill prefix
| +----- Sequence
| | +-- Check Digit
V V V
XXXNNNNNNNNb
    
```

(Where 'X' is any character, 'N' is numeric, and 'b' is a space).

- The check digit (position eleven) must be the first 'remainder' integer of positions 4-10 of the In-Bond/In-Transit Number divided by +7.



- As a CBP Assigned Immediate Transportation Number: 'NNNNNNNNNNbbb'

```

+----- Sequence
|          +---- Check Digit
V          V
NNNNNNNNNNbbb
    
```

(Where 'N' is numeric, and 'b' is a space).

- This is the standard IT number as it is shown on the CF-7512E (Transportation Entry and Manifest of Goods Subject to CBP Inspection and Permit).
- The check digit (position nine) must be the first 'remainder' integer of positions 1-8 of the In-Bond/In-Transit Number divided by +7.

Master Bill Number / Issuer

When reported as 23-Record Manifest Component Type Code = 'M', the Manifest Component Identifier is a reference to a transportation MASTER waybill, ocean bill-of-lading, pro-bill, rail bill, or air waybill. The Manifest Component Issuer Code identifies the issuer of the master bill.

House Bill Number / Issuer

When reported as 23-Record Manifest Component Type Code = 'H', the Manifest Component Identifier is a reference to a transportation HOUSE level waybill, ocean bill-of-lading, pro-bill, rail bill, or air waybill. The Manifest Component Issuer Code identifies the issuer of the house bill.

Sub-House Bill Number

When reported as 23-Record Manifest Component Type Code = 'S', the Manifest Component Identifier is a reference to a transportation SUB-HOUSE level waybill, ocean bill-of-lading, pro-bill, rail bill, or air waybill.

Vessel Shipments

When MOT = 10, 11

Control ID/Data Element	Reporting	Vessel Shipment Notes
22-Record Manifested Quantity	M	Report the quantity of the smallest exterior packaging unit for the lowest level of bill number specified. Must be greater than zero.
22-Record Manifested Quantity UOM Code	M	Report an industry accepted UOM code; report 'PCS' if multiple units specified on the bill. Left justify; trailing spaces.
23-Record Manifest Component Type 'I' (In-Bond/In-Transit Number)		
Issuer Code	N	Never allowed.
Identifier	A	Report when the cargo has moved In-Bond. (Also requires reporting of the 20-Record In-Bond/In-Transit Date)



Control ID/Data Element	Reporting	Vessel Shipment Notes
23-Record Manifest Component Type 'M' (Master Bill Number)		
Issuer Code	M	Must be a known, 4-character SCAC. (Note that the Issuer may or may not be the operating conveyance Carrier.)
Identifier	M	Always required.
23-Record Manifest Component Type 'H' (House Bill Number)		
Issuer Code	C	Always required when House Bill Number reported for Rail; should be a known, 4-character SCAC.
Identifier	A	Not allowed to be reported without Master Bill Number.
23-Record Manifest Component Type 'S' (Sub-House Number)		
Issuer Code	N	Never allowed.
Identifier	A	Not allowed to be reported without House Bill Number.

Reporting Key: **M** = Mandatory, **C** = Conditionally Required, **A** = Allowed, **N** = NOT Allowed

Accepted combinations for Vessel:

In-Bond/In-Transit Number ('I')	Master Bill Number ('M')	House Bill Number ('H')	Sub-House Bill Number ('S')
VXXNNNNNNNNnb / NNNNNNNNNNbbb	Required	Not reported	Not reported
VXXNNNNNNNNnb / NNNNNNNNNNbbb	Required	Allowed	Not reported
VXXNNNNNNNNnb / NNNNNNNNNNbbb	Required	Required, when Sub-House reported	Allowed
Not reported	Required	Not reported	Not reported
Not reported	Required	Allowed	Not reported
Not reported	Required	Required, when Sub-House reported	Allowed

Rail Shipments

When MOT = 20, 21

Control ID/Data Element	Reporting	Rail Shipment Notes
22-Record Manifested Quantity	M	Report the quantity of the smallest exterior packaging unit for the lowest level of bill number specified. Must be greater than zero.
22-Record Manifested Quantity UOM Code	M	Report an industry accepted UOM code; report 'PCS' if multiple units specified on the bill. Left justify; trailing spaces.
23-Record Manifest Component Type 'I' (In-Bond/In-Transit Number)		
Issuer Code	N	Never allowed.
Identifier	A	Report when the cargo has moved In-Bond. (Also requires reporting of the 20-Record In-Bond/In-Transit Date)



Control ID/Data Element	Reporting	Rail Shipment Notes
23-Record Manifest Component Type 'M' (Master Bill Number)		
Issuer Code	A	Allowed, but not required, to be reported with the Master Bill Number. Not allowed to be reported when no Master Bill Number present. When reported, must be a known, 4-character SCAC. (Note that the Issuer may or may not be the operating conveyance Carrier.)
Identifier	A	Allowed to be reported without In-Bond/In-Transit Number when no In-Bond/In-Transit Number reported or if the In-Bond/In-Transit Number is a CBP assigned Immediate Transportation number. Not allowed to be reported without In-Bond/In-Transit Number if the In-Bond/In-Transit Number is a Paperless In-Bond.
23-Record Manifest Component Type 'H' (House Bill Number)		
Issuer Code	A	Allowed to be reported without Master Bill Number when no In-Bond/In-Transit Number reported or if the In-Bond/In-Transit Number is a CBP assigned Immediate Transportation number. Not allowed to be reported without Master Bill Number if the In-Bond/In-Transit Number is a Paperless In-Bond.
Identifier	C	Always required when House Bill Number reported for Rail; should be a known, 4-character SCAC.
23-Record Manifest Component Type 'S' (Sub-House Number)		
Issuer Code	N	Never allowed.
Identifier	A	Allowed to be reported without House Bill Number when no In-Bond/In-Transit Number reported or if the In-Bond/In-Transit Number is a CBP assigned Immediate Transportation number. Not allowed to be reported without House Bill Number if the In-Bond/In-Transit Number is a Paperless In-Bond.

Reporting Key: **M** = Mandatory, **C** = Conditionally Required, **A** = Allowed, **N** = NOT Allowed

Accepted combinations for Rail:

In-Bond/In-Transit Number ('I')	Master Bill Number ('M')	House Bill Number ('H')	Sub-House Bill Number ('S')
When Paperless In-Bond present as In-Bond/In-Transit Number: Bill or Lading Required			
VXXNNNNNNNNb	Required	Not reported	Not reported
VXXNNNNNNNNb	Required	Allowed	Not reported
VXXNNNNNNNNb	Required	Required, when Sub-House reported	Allowed
When Paperless In-Bond not present as In-Bond/In-Transit Number: ANY Combination Valid			
NNNNNNNNNNbbb or Not reported	Allowed or Not reported	Allowed or Not reported	Allowed or Not reported

Truck & Border Water Borne Shipments

When MOT = 12, 30, 31



Control ID/Data Element	Reporting	Truck & Border Water Borne Shipment Notes
22-Record Manifested Quantity	M	Report the quantity of the smallest exterior packaging unit for the lowest level of bill number specified. Must be greater than zero.
22-Record Manifested Quantity UOM Code	M	Report an industry accepted UOM code; report 'PCS' if multiple units specified on the bill. Left justify; trailing spaces.
23-Record Manifest Component Type 'I' (In-Bond/In-Transit Number)		
Issuer Code	N	Never allowed.
Identifier	A	Report when the cargo has moved In-Bond. (Also requires reporting of the 20-Record In-Bond/In-Transit Date)
23-Record Manifest Component Type 'M' (Master Bill Number)		
Issuer Code	A	Allowed, but not required, to be reported with the Master Bill Number. Not allowed to be reported when no Master Bill Number present. When reported, must be a known, 4-character SCAC. (Note that the Issuer may or may not be the operating conveyance Carrier.)
Identifier	A	Allowed to be reported without In-Bond/In-Transit Number when no In-Bond/In-Transit Number reported or if the In-Bond/In-Transit Number is a CBP assigned Immediate Transportation number. Not allowed to be reported without In-Bond/In-Transit Number if the In-Bond/In-Transit Number is a Paperless In-Bond.
23-Record Manifest Component Type 'H' (House Bill Number)		
Issuer Code	A	Allowed, but not required, to be reported with the House Bill Number. Not allowed to be reported when no House Bill Number present. When reported, should be a known, 4-character SCAC.
Identifier	A	Allowed to be reported without Master Bill Number when no In-Bond/In-Transit Number reported or if the In-Bond/In-Transit Number is a CBP assigned Immediate Transportation number. Not allowed to be reported without Master Bill Number if the In-Bond/In-Transit Number is a Paperless In-Bond.
23-Record Manifest Component Type 'S' (Sub-House Number)		
Issuer Code	N	Never allowed.
Identifier	A	Allowed to be reported without House Bill Number when no In-Bond/In-Transit Number reported or if the In-Bond/In-Transit Number is a CBP assigned Immediate Transportation number. Not allowed to be reported without House Bill Number if the In-Bond/In-Transit Number is a Paperless In-Bond.

Reporting Key: **M** = Mandatory, **C** = Conditionally Required, **A** = Allowed, **N** = NOT Allowed



Accepted combinations for Truck & Border Water Borne:

In-Bond/In-Transit Number ('I')	Master Bill Number ('M')	House Bill Number ('H')	Sub-House Bill Number ('S')
When Paperless In-Bond present as In-Bond/In-Transit Number: Bill or Lading Required			
VXXNNNNNNNNb	Required	Not reported	Not reported
VXXNNNNNNNNb	Required	Allowed	Not reported
VXXNNNNNNNNb	Required	Required, when Sub-House reported	Allowed
When Paperless In-Bond not present as In-Bond/In-Transit Number: ANY Combination Valid			
NNNNNNNNNNbbb or Not reported	Allowed or Not reported	Allowed or Not reported	Allowed or Not reported

Air Shipments

When MOT = 40, 41

Control ID/Data Element	Reporting	Air Shipment Notes
22-Record Manifested Quantity	M	Report the quantity of the smallest exterior packaging unit for the lowest level of bill number specified. Must be greater than zero.
22-Record Manifested Quantity UOM Code	M	Report an industry accepted UOM code; report 'PCS' if multiple units specified on the bill. Left justify; trailing spaces.
23-Record Manifest Component Type 'I' (In-Bond/In-Transit Number)		
Issuer Code	N	Never allowed.
Identifier	A	Report when the cargo has moved In-Bond. (Also requires reporting of the 20-Record In-Bond/In-Transit Date)
23-Record Manifest Component Type 'M' (Master Bill Number)		
Issuer Code	N	Not allowed for Air.
Identifier	M	Always required.
23-Record Manifest Component Type 'H' (House Bill Number)		
Issuer Code	A	Not allowed to be reported without Master Bill Number.
Identifier	N	Not allowed for Air.
23-Record Manifest Component Type 'S' (Sub-House Number)		
Issuer Code	N	Never allowed.
Identifier	A	Not allowed to be reported without House Bill Number.

Reporting Key: **M** = Mandatory, **C** = Conditionally Required, **A** = Allowed, **N** = NOT Allowed

Accepted combinations for Air:

In-Bond/In-Transit Number ('I')	Master Bill Number ('M')	House Bill Number ('H')	Sub-House Bill Number ('S')
XXXNNNNNNNNb / NNNNNNNNNNNbbb	Required	Not reported	Not reported
XXXNNNNNNNNb / NNNNNNNNNNNbbb	Required	Allowed	Not reported



In-Bond/In-Transit Number ('I')	Master Bill Number ('M')	House Bill Number ('H')	Sub-House Bill Number ('S')
XXXXXXXXXXXXb / NNNNNNNNNNbbb	Required	Required, when Sub-House reported	Allowed
Not reported	Required	Not reported	Not reported
Not reported	Required	Allowed	Not reported
Not reported	Required	Required, when Sub-House reported	Allowed

All Other Shipments

When MOT = 32 (Auto), 33 (Pedestrian), 34 (Road, Other), 50 (Mail), 60 (Passenger, Hand Carried), 70 (Fixed Transport)

Control ID/Data Element	Reporting	All Other Shipment Notes
22-Record Manifested Quantity	M	Report the quantity of the smallest exterior packaging unit for the lowest level of bill number specified. Must be greater than zero.
22-Record Manifested Quantity UOM Code	M	Report an industry accepted UOM code; report 'PCS' if multiple units specified on the bill. Left justify; trailing spaces.
23-Record Manifest Component Type 'I' (In-Bond/In-Transit Number)		
Issuer Code	N	Never allowed.
Identifier	A	Report when the cargo has moved In-Bond. (Also requires reporting of the 20-Record In-Bond/In-Transit Date)
23-Record Manifest Component Type 'M' (Master Bill Number)		
Issuer Code	N	Not allowed.
Identifier	A	Allowed to be reported without In-Bond/In-Transit Number when no In-Bond/In-Transit Number reported or if the In-Bond/In-Transit Number is a CBP assigned Immediate Transportation number. Not allowed to be reported without In-Bond/In-Transit Number if the In-Bond/In-Transit Number is a Paperless In-Bond or AWB number.
23-Record Manifest Component Type 'H' (House Bill Number)		
Issuer Code	A	Allowed, but not required, to be reported with the House Bill Number. Not allowed to be reported when no House Bill Number present. When reported, should be a known, 4-character SCAC.
Identifier	A	Allowed to be reported without Master Bill Number when no In-Bond/In-Transit Number reported or if the In-Bond/In-Transit Number is a CBP assigned Immediate Transportation number. Not allowed to be reported without Master Bill Number if the In-Bond/In-Transit Number is a Paperless In-Bond or AWB number.
23-Record Manifest Component Type 'S' (Sub-House Number)		
Issuer Code	N	Never allowed.



Control ID/Data Element	Reporting	All Other Shipment Notes
Identifier	A	Allowed to be reported without House Bill Number when no In-Bond/In-Transit Number reported or if the In-Bond/In-Transit Number is a CBP assigned Immediate Transportation number. Not allowed to be reported without House Bill Number if the In-Bond/In-Transit Number is a Paperless In-Bond or AWB number.

Reporting Key: **M** = Mandatory, **C** = Conditionally Required, **A** = Allowed, **N** = NOT Allowed

Accepted combinations for all other shipments:

In-Bond/In-Transit Number ('I')	Master Bill Number ('M')	House Bill Number ('H')	Sub-House Bill Number ('S')
When either Paperless In-Bond or AWB present as In-Bond/In-Transit Number: Bill or Lading Required			
VXXXXXXXXXXXXb / XXXXXXXXXXXXXXXb	Required	Not reported	Not reported
VXXXXXXXXXXXXb / XXXXXXXXXXXXXXXb	Required	Allowed	Not reported
VXXXXXXXXXXXXb / XXXXXXXXXXXXXXXb	Required	Required, when Sub-House reported	Allowed
When neither Paperless In-Bond nor AWB present as In-Bond/In-Transit Number: ANY Combination Valid			
NNNNNNNNNNbbb or Not reported	Allowed or Not reported	Allowed or Not reported	Allowed or Not reported

Further In-Bond/In-Transit Reporting Guidelines

If the cargo has moved in-bond, than EACH and EVERY Cargo Manifest Grouping must include an In-Bond/In-Transit Number (i.e., if cargo in-bond movement is being claimed, then each manifest detail must report the movement.)

If cargo in-bond movement is being claimed, the In-Bond/In-Transit Date:

- Cannot be more than two years in the past.
- Cannot be later than the Estimated Entry Date (11-Record), when reported (with the exception of a TIB Entry Summary).
- Cannot be equal to or earlier than the Import Date (11-Record), when reported.

If cargo in-bond movement is being claimed, the District/Port of Entry (10-Record) cannot be the same as the District/Port of Unlading (20-Record), when reported.

i) Bond & Surety Reporting

The following sections describe the reporting rules for the reporting of Surety and bond information.

Continuous Bond Claim

When the Bond Type Code (10-Record) is reported as **8** = Continuous Bond:



- When the Entry Type is neither **51**, nor **52** (Government), nor **05** (Vessel Repair), nor **31**, nor **32**, nor **34**, nor **38** (Warehouse Withdrawal), the Importer must have an active, sufficient, pre-established continuous 'Importer/Broker' or 'Importer/Drawback/Broker' bond on file.
- When the Entry Type is **51** or **52** (Government), if the Importer is deemed *Non-Government*, the Importer must have an active, sufficient, pre-established continuous 'Importer/Broker' or 'Importer/Drawback/Broker' bond on file.
- When the Entry Type is **51** or **52** (Government), and the continuous bond claim is made, if the Importer is deemed *Government*, an actual continuous bond is not required to be on file.
- When the Entry Type is **05** (Vessel Repair) the Importer must have an active, sufficient, pre-established continuous 'Carrier' or 'Carrier/International Traffic' bond on file.
- When the Entry Type is **31**, or **32**, or **34**, or **38** (Warehouse Withdrawal), when the Warehouse Withdrawal Importer is the same as the associated Warehouse entry Importer, the Importer must have a pre-established continuous 'Importer/Broker' or 'Importer/Drawback/Broker' bond on file. The Warehouse Entry's surety and bond sufficiency are deemed suitable for coverage of the withdrawal.

Furthermore,

Control ID/Data Element	Reporting	Notes
11-Record Surety Code	M	<ul style="list-style-type: none"> • When the Entry Type is 31, 32, 34, or 38 (Warehouse Withdrawal) AND the Withdrawal IOR is the same as the associated Warehouse IOR, the Surety Code must be known Surety company (and can NEVER be one found in 'AE Table 4 – Surety Waiver Codes' (Table 4b). • Otherwise (when other than Warehouse Withdrawal), the Surety Code must match the issuer of the 'continuous' bond obtained by the Importer (11-Record Importer of Record Number).
31-Record (Single Entry Bond Detail) reporting	N	Never allowed.

Reporting Key: **M** = Mandatory, **C** = Conditionally Required, **A** = Allowed, **N** = NOT Allowed

Single Entry Bond Claim

When the Bond Type Code (10-Record) is reported as **9** = Single Entry Bond:

Control ID/Data Element	Reporting	Notes
11-Record Surety Code	M	The Surety Code: <ul style="list-style-type: none"> • Can NEVER be one found in 'AE Table 4 – Surety Waiver Codes' (Table 4b). • Must be a known Surety company, neither in 'revoked' nor in 'cancelled' status.
31-Record (Single Entry	M	Always required for a single entry bond claim.



Control ID/Data Element	Reporting	Notes
Bond Detail) reporting		
31-Record Bond Amount	M	Report the coverage amount in whole U.S. dollars. The amount may be \$0, however.
31-Record Bond Producer Account Number	M	Report the Entry Filer's identification number assigned by the Surety company.

Reporting Key: **M** = Mandatory, **C** = Conditionally Required, **A** = Allowed, **N** = NOT Allowed

No Bond Required Claim

When the Bond Type Code (10-Record) is reported as **0** = No Bond Required:

Control ID/Data Element	Reporting	Notes
11-Record Surety Code	C	<ul style="list-style-type: none"> When the Entry Type is 11 or 12 (Informal), a Surety waiver code is never allowed (i.e., must be space). When the Entry Type is 05 (Appraisalment), 23 (TIB), 51 (DCASR), or 52 (Government), a Surety waiver code found in 'AE Table 4 – Surety Waiver Codes' (Table 4a) is allowed yet not required. When the Entry Type is TIB (23), the bond can only be 'waived' if the Importer of Record is a <u>Government IOR</u> and EACH and EVERY article is of Canadian Origin. Otherwise for all other Entry Types, the Surety Code must be one found in 'AE Table 4 – Surety Waiver Codes' (Table 4a).
31-Record (Single Entry Bond Detail) reporting	N	Never allowed.

Reporting Key: **M** = Mandatory, **C** = Conditionally Required, **A** = Allowed, **N** = NOT Allowed

j) Basic Article Classification and Tariff Considerations

Determining the Relevant Classification Date

For purposes of classification, the automated system determines the date relevant for duty computation purposes (e.g., the selection of duty rates). The date is chosen from those reported (or those determined by CBP), in the following order:

When Entry Type **06** (FTZ) and the merchandise has been claimed as **P** (Privileged Foreign Status)

- 41-Record **Privileged FTZ Merchandise Filing Date** (if accepted without condition)

When a 'quota' Entry Type (i.e., **02** (Consumption – Quota/Visa), **07** (Consumption – ADD/CVD + Quota/Visa), **12** (Informal – Quota/Visa), **32** (Warehouse Withdrawal – Quota), or **38** (Warehouse Withdrawal – Quota & ADD/CVD)),

- Entry Date** (as determined by CBP)
- 11-Record **Estimated Entry Date** (if accepted without condition)
- Release Date** (as determined by CBP)



- **Preliminary Statement Print Date** (if accepted without condition)
- 20-Record **Estimated Date of Arrival** (if accepted without condition, ONLY if later than **EDI Received Date**)
- **EDI Received Date** (i.e., the “system date”; when no other date available)

Otherwise,

- 20-Record **In-Bond/In-Transit Date** (if accepted without condition)
- **Entry Date** (as determined by CBP)
- 11-Record **Estimated Entry Date** (if accepted without condition)
- **Release Date** (as determined by CBP)
- **Preliminary Statement Print Date** (if accepted without condition)
- 20-Record **Estimated Date of Arrival** (if accepted without condition, ONLY if later than **EDI Received Date**)
- **EDI Received Date** (i.e., the “system date”; when no other date available)

The date chosen cannot be a date more than sixty days in the future.

Reporting Value, Quantity, & Unit of Measure

Report the value of the article in whole US dollars. Report quantity and unit of measure (UOM) (1, 2, and 3) per that prescribed for the tariff in the HTS.

Classifying a Temporary Article Imported Under a Bond (TIB)

On an Entry Type **23** (TIB) Entry Summary the article must be classified using as a tariff number reserved for Various Articles Under Bond (i.e., 9813.00) (see ‘*AE Table 9 – Exception Case Articles - Categorized*’).

Furthermore,

- When ANY Line Item submitted with the Entry Summary is an Article Under 6-Month Bond - 9813.00.75 then the Date of Importation can be no more than 6-Months in the past
- For any other TIB Entry Summary, the Date of Importation can be no more than 12-Months in the past.

k) Reporting Article Sets

The General Rules of Interpretation (GRI 3(b) and 3(c)) of the Harmonized Tariff Schedule (HTS) provides for the classification of mixtures, composite goods of different materials or made up of different components, and goods put up in a **set** for retail sale. When specified by the Filer, a group of Entry Summary Line Items represent a single article, known as a **set**.

A conventional article is made up of a single Line Item. A set, however is made up of a single Line Item (known as the ‘Article Set Header’), followed by two or more Line Items (each known as an ‘Article Set Component’).

Use the Article Set Indicator to specify the nature of the article reported:

- When not specified (i.e., space), an indication that the single Entry Summary Line Item represents a single article (i.e., not part of a set).



- When specified as 'X', an indication that the Entry Summary Line Item is part of an article 'set' and specifically the 'Article Set Header'.
- When specified as 'V', an indication that the Entry Summary Line Item is part of an article 'set' and specifically an 'Article Set Component'.

The classification on the Article Set Header Line Item is that deemed by the importer to represent the 'essential' character of the article (as 'interpreted'). Report the set Article Set Header's dollar value as the sum of the dollar values of all of the individual Article Set Components (i.e., the 'parts' of the set). It is the Article Set Header Line Item that is used to assess duty, MPF, and HMF. It is never used to assess IR Tax & Agricultural Management Service (AMS) fees, never used for visa/quota checks, and never undergoes any Unit Price or Quantity Ratio validation.

The subsequent Article Set Components are classified by the importer according to the specific 'part' of the set they represent. The classification of the *first* Article Set Component MUST be the same classification as that claimed on the Article Set Header. An Article Set Component is never used to assess duty, MPF, or HMF. It is used to assess IR Tax & Agricultural Management Service (AMS) fees, used for visa/quota checks, and conditionally undergoes the Unit Price and Quantity Ratio validation.

l) Duty Reporting

A duty amount is required to be estimated and submitted at the article/line level when not specifically exempt. The article's duty amount is computed as prescribed by the classification found in the HTS. When subject to duty, report the amount as \$0 when the estimate computes to less than \$0.01.

When the article is exempt from duty, a duty amount of \$0 must be reported. Some reasons that an article may be considered duty-free include:

- Deemed unconditionally duty free (per the HTS),
- 'Domestic' merchandise is being withdrawn from an FTZ,
- The article is categorized as Unconditionally Duty Free (see 'AE Table 9 – Exception Case Articles - Categorized'),
- A legitimate Trade Agreement/Special Program is cited (i.e., a code suitable for reporting with the article and/or country).

m) Articles Exempt from a Merchandise Processing Fee (MPF)

An article may be exempt from MPF. Some reasons include:

- Article originates in a "Column-3" country,
- Article originates in Israel (when imported after 15-Sep-1998),
- Article originates in a country actively participating in the Caribbean Basin Initiative (CBI),
- Article originates in a US Insular Possession,
- 'Domestic' merchandise is being withdrawn from an FTZ,
- The article is claimed under Special Classification Provision – Chapter 98 with the exception of articles categorized as Not MPF Exempt (see 'AE Table 9 – Exception Case Articles - Categorized'),
- A legitimate Trade Agreement/Special Program is cited (i.e., a code suitable for reporting with the article and/or country).



n) **Formal Entry Article Merchandise Processing Fee (MPF)**

When the Entry Type is neither **11**, nor **12** (Informal), nor **23** (TIB), the article's importation may be subject to a formal entry MPF. A MPF (i.e., a **499** user fee accounting class) amount is required to be estimated and submitted at the article/line level when not specifically exempt (see 'Articles Exempt from a Merchandise Processing Fee' above).

When the article is exempt from formal entry MPF, a **499** fee class must not be submitted. The automated system determines a date relevant for determining the formal entry MPF rate. The date is chosen from those reported (or those determined by CBP), in the following order:

When Entry Type **06** (FTZ) **and** the merchandise has been claimed as **P** (Privileged Foreign Status)

- 41-Record **Privileged FTZ Merchandise Filing Date** (if accepted without condition)

Otherwise,

- **Entry Date** (as determined by CBP)
- 11-Record **Estimated Entry Date** (if accepted without condition)
- **Release Date** (as determined by CBP)
- 10-Record **Preliminary Statement Print Date**
- 20-Record **Estimated Date of Arrival** (if accepted without condition, ONLY if later than **EDI Received Date**)
- **EDI Received Date** (i.e., the "system date"; when no other date available)

When <i>Date</i> is...	...the <i>Article Formal Entry MPF</i> is computed as <i>article value</i> *:
On or after 01-Jan-1995	0.0021
On or between 01-Oct-1992 thru 12-Dec-1994	0.0019
On or earlier than 30-Sep-1992	0.0017

o) **Article Harbor Maintenance Fee (HMF)**

The article's importation may be subject to a Harbor Maintenance Fee (HMF). A HMF (i.e., a **501** user fee accounting class) amount is required to be estimated and submitted at the article/line level when required.

An estimated HMF is **required** for a border water borne shipment (MOT = **12**) and **allowed** for any other vessel shipment (MOT = **10, 11**) when the shipment is not specifically exempt by Entry Type or by classification. When the Entry Summary is exempt from the HMF, a **501** fee class must not be submitted. An article is exempt from HMF when:

- The Entry Type = **11** or **12** (Informal),
- The Entry Type = **06** (FTZ); **Note:** Since July 1, 2003, HMF for FTZ has been paid quarterly,
- The MOT is other than **12** (border water borne) or **10, 11** (vessel).

The automated system determines a date relevant for determining the HMF rate. The date is chosen from those reported in the following order:



- 11-Record **Date of Importation** (if accepted without condition)
- 20-Record **In-Bond/In-Transit Date** (if accepted without condition)
- 20-Record **Estimated Date of Arrival** (if accepted without condition)
- **EDI Received Date** (when no other date available)

When Date is...	...the Article HMF is computed as article value *:
On or after than 01-Jan-1991	0.00125
On or earlier than 31-Dec-1990	0.0004

p) Dutiable Mail Fee

The shipment may be subject to a 'dutiable' mail fee. A mail fee (i.e., a **496** user fee accounting class) amount is required to be estimated and submitted at the header level when required.

An estimated dutiable mail fee of exactly \$5.00 is **required** for a mail shipment (MOT = **50**) when duty is owed CBP. If the entry is entirely *duty free* or the MOT is other than mail, a dutiable mail fee is never allowed.

q) Informal Entry Merchandise Processing Fee (MPF)

When the Entry Type is **11** or **12** (Informal), the article's importation may be subject to an informal entry MPF. An informal entry MPF (i.e., a **311** user fee accounting class) of exactly \$2.00 is **required** to be reported as the 'header' level, for a non-consolidated Entry Summary, when not specifically exempt.

When the Entry Summary is exempt from informal entry MPF, a **311** fee class must not be submitted. An article is exempt from informal MPF when:

- The MOT = **50** (Mail),
- The Entry Port is a 'Courier Hub', a 'User Fee Airport' or an 'Express Courier Consignment Facility (ECCF)'

Further exemptions include when EACH and EVERY line is exempt from MPF for any reason (see 'Articles Exempt from a Merchandise Processing Fee' above).

(**Note:** A 311 fee amount of more than \$2.00 will be accepted for a consolidated Entry Summary.)

r) Manual Entry Surcharge

The Entry Summary may be subject to a Manual Entry Surcharge (a type of MPF). A manual surcharge (i.e., a **500** user fee accounting class) of exactly \$3.00 is **required** to be reported as the 'header' level, for a non-consolidated Entry Summary, when not specifically exempt. The surcharge is assessed to cover CBP's costs to manually process the cargo entry documentation for those non-automated Filer's in a non-border port.

When the Entry Summary is exempt from the manual surcharge, a **500** fee class must not be submitted. An article is exempt from the manual surcharge when:

- The Entry Type = **11** or **12** (Informal),
- The Filer is automated for Cargo Release/Certification in **any** port,



- The cargo was 'released' via a border 'line release' mechanism (i.e., FAST, BRASS),
- The Entry port is NOT a conventional Cargo Release port

Further exemptions include when EACH and EVERY line is exempt from MPF for any reason (see 'Articles Exempt from a Merchandise Processing Fee' above).

(Note: A 500 surcharge amount of more than \$3.00 will be accepted for a consolidated Entry Summary.)

s) License, Certificate, and Permit Reporting

Submit the identifier/number for the applicable license, certificate, or permit for the article on the 52-Record. **Note:** An Administrative Message referenced below may refer to the submission of data in the existing ACS EI transaction format.

License, Certificate, or Permit	Type Code	Notes
Steel Import License	01	For article of steel subject to safeguard measures. Length: Not more than 9 characters. Acceptable Format(s): In the format supplied by the Department of Commerce. Refer to Administrative Message 02-0910.
Singapore TPL Certificate	02	For Singapore trade preference level (TPL's) – tariff numbers 9910.6101 – 9910.6189. Length: Not more than 9 characters. Acceptable Format(s): In the format supplied by the government of Singapore. Refer to Administrative Message 04-0071.
Canadian NAFTA TPL Certificate	03	For articles subject to Canadian trade preference levels (TPL's). Length: Not more than 9 characters. Acceptable Format(s): In the format supplied by the certificate's issuer. Refer to Administrative Message 04-2377 and 04-2553.
Mexican NAFTA TPL Certificate	04	For articles subject to Mexican trade preference levels (TPL's). Length: Not more than 9 characters. Acceptable Format(s): In the format supplied by the certificate's issuer. Refer to Administrative Message 04-2377 and 04-2553.
Beef Export Certificate	05	For articles of beef subject to regulation.



License, Certificate, or Permit	Type Code	Notes
		<p>Length: Not more than 9 characters. Acceptable Format(s): In the format supplied by the certificate's issuer.</p> <p>Refer to Administrative Message 04-2376.</p>
Diamond Certificate	06	<p>For articles of diamonds subject to the 'Kimberly' process regulation.</p> <p>Length: Not more than 9 characters. Acceptable Format(s): In the format supplied by the Office of Foreign Asset Control (OFAC).</p> <p>Refer to Administrative Message 04-2229.</p>
Andean Trade Partnership Drug Eradication Act (ATPDEA) Certificate (HTS 98211119)	07	<p>For articles subject to the ATPDEA brassiere provision.</p> <p>Length: Not more than 9 characters. Acceptable Format(s): In the format supplied by the certificate's issuer.</p> <p>Refer to Administrative Message 04-2378.</p>
Australia Free Trade Export Certificate	08	<p>For articles subject to Australian free trade regulation.</p> <p>Length: Not more than 9 characters. Acceptable Format(s): In the format supplied by the Government of Australia.</p> <p>Refer to Administrative Message 05-0018.</p>
Mexican Cement Import License	09	<p>For articles of cement from Mexico subject to regulation.</p> <p>Length: Not more than 9 characters. Acceptable Format(s): In the format supplied by the Department of Commerce.</p> <p>Refer to Administrative Message 06-1079.</p>
CAFTA TPL Certificate	10	<p>For articles subject to Nicaraguan trade preference levels (TPL's).</p> <p>Length: Not more than 9 characters. Acceptable Format(s): In the format supplied by the Government of Nicaragua.</p> <p>Refer to Administrative Message 06-0936.</p>
Canadian Softwood Lumber Export Permit	11	<p>For articles of softwood lumber from Canada subject to regulation.</p>



License, Certificate, or Permit	Type Code	Notes
		<p>Length: Not more than 9 characters. Acceptable Format(s): In the format supplied by the Government of Canada.</p> <p>Refer to Administrative Message 06-1119.</p>
Cotton Shirting Fabric License	12	<p>For articles containing cotton fabric subject to regulation.</p> <p>Length: Not more than 9 characters. Acceptable Format(s): In the format supplied by the Department of Commerce.</p> <p>Refer to Administrative Messages 07-0186 and 07-0224.</p>
Haiti 'Hope' Import Permit	13	(not yet available)
Agricultural License	14	<p>For various agriculture products subject to Department or Agriculture and other quota regulation.</p> <p>Length: 10 characters. Acceptable Format(s): In the format supplied by the Department of Agriculture:</p> <p>N-AA-NNN-N N-AB-NNN-N</p> <p>(Where N = numeric, A = alphabetic, and B = space. Include the dashes as indicated above.)</p> <p>(Refer to Administrative Message 04-1326 for ONLY special 'sugar license' usage.)</p>
Canadian Softwood Lumber Permit	15	(Note: 1990's program; no longer active; do not use.)
Canadian Export Sugar Certificate	16	<p>For articles containing sugar from Canada subject to regulation.</p> <p>Length: Not more than 8 characters. Acceptable Format(s): In the format supplied by the certificate's issuer.</p> <p>Refer to Administrative Message 99-1083.</p>
Wool License	17	<p>For articles containing wool subject to regulation.</p> <p>Length: Not more than 9 characters. Acceptable Format(s): In the format supplied by the Department of Commerce.</p>



License, Certificate, or Permit	Type Code	Notes
		Refer to Administrative Messages 00-1349 and 04-2550.
Caribbean Basin Trade Partnership Act (CBTPA) Certification	18	For articles subject to the CBTPA brassiere provision. Length: Not more than 9 characters. Acceptable Format(s): In the format supplied by the Department of Commerce. Refer to Administrative Message 02-0387.
African Growth and Opportunity Act (AGOA) Textile Provision Number	19	For textile articles from Africa subject to regulation. Length: Not more than 9 characters. Acceptable Format(s): In the format supplied by the certificate's issuer. Refer to Administrative Message 01-0390.
Other 'Non-Standard' Visa	20	Use when instructed by CBP. Length: Not more than 9 characters.

t) Electronic Invoice Processing (EIP) Program Reporting Requirements

An authorized Filer participating in the EIP program can mark the Entry Summary as 'EIP' (10-Record Electronic Invoice Indicator = 'Y'). This requires:

- A continuous bond.
- The Entry Summary is to be paid on an ACH statement.
- A 'Paperless Summary Certification' is made.

Furthermore, the Filer is required to submit at least one Invoice Line Reference Detail (42-Record) for each and every Entry Summary Line Item using one of the following configurations:

- A 'stand-alone' Invoice Line Reference Detail(s) is an indication that the corresponding electronic invoice will be (or has already) been submitted to CBP via the Automated Invoice Interface (All).
- An Invoice Line Reference Detail(s) followed by a single Ruling/Invoice by Request Detail (43-Record) where the Ruling Type Code is 'I' (and the 'INVREQ' string is found in the Ruling Number/Request Indicator) is an indication that filer will submit an electronic invoice via the Automated Invoice Interface (All) when requested to do so by CBP.
- An Invoice Line Reference Detail(s) followed by a single Ruling/Invoice by Request Detail (43-Record) containing a Pre-Classification, Pre-Approval, or Binding Ruling number is an indication the filer is claiming that a prior determination has been made and the actual electronic invoice should not be needed by CBP.

In all three cases, a Commercial Description of the cargo can be submitted as well (in the form of one or more Commercial Description 44-Records).



u) Non-EIP Program Classification Ruling Reporting

If an Electronic Invoice Processing claim has NOT been made (i.e., 10-Record Electronic Invoice Indicator NOT = 'Y'), the filer may submit a single Pre-Classification, Pre-Approval, or Binding Ruling 'number' on an Entry Summary Line Item (on the Ruling/Invoice by Request Detail – 43-Record).

In addition, a Commercial Description of the cargo can be submitted (in the form of one or more Commercial Description 44-Records) for the Entry Summary Line item.

(**Note:** An Invoice Line Reference Detail (42-Record) is not allowed for a non-EIP Entry Summary. Furthermore, the Ruling Type Code of the 43-Record is NOT allowed to be 'I' (invoice by request) for a non-EIP Entry Summary.)

v) Article Charges

Aggregate cost (excluding duty) of freight, insurance, etc. (i.e. 'charges') may always be reported. Charges must be reported, however, when the total article value exceeds \$2,000 with the following exceptions:

- The Entry Type = **11**, or **12** (Informal),
- The Country of Origin has been declared as a US Insular Possession,
- The MOT = **60** (Passenger, Hand Carried),
- The line item is an Article Set Component,
- The article is a 'repaired item', yet the value of the repair is equal/less than \$1250,
- The article is a 'returned item', yet the value of the return is equal/less than \$1000.

In these cases, a value of \$0 is accepted.

w) Determining the Formal Entry Merchandise Processing Fee (MPF) Min / Max

If the reported article(s) require a formal entry MPF, a minimum or maximum amount to be assessed may apply. The automated system determines which maximum to access based on the same date relevant for determining the article formal entry MPF rate (see *Formal Article Merchandise Process Fee*).

When Date is...	...the Article MPF Rate is:	...the MPF Minimum / Maximum is...	MPF Minimum Threshold	MPF Maximum Threshold
On or after 01-Jan-1995	0.0021	in Effect	= \$25.00	= \$485.00
On or between 01-Oct-1992 thru 12-Dec-1994	0.0019	in Effect	= \$21.00	= \$400.00
On or between 01-Oct-1990 thru 30-Sep-1992	0.0017	in Effect	= \$21.00	= \$400.00
On or earlier then 30-Sep-1990	0.0017	NOT in Effect	n/a	n/a

When formal entry MPF applies, report the **499** 'total' in the Total Fee Detail (89-Record). If the total article MPF fee(s) do NOT exceed the minimum threshold, report the minimum amount specified above. If the total article MPF fee(s) exceed the maximum threshold, report the



maximum amount specified above. If the total article MPF fee(s) fall in between the minimum/maximum, or if the minimum or maximum is NOT in effect, report total article MPF fee sum.

x) Grand Total Reporting

Generally, the 'grand' totals reported on the Grand Totals (90-Record), must equal the sum of the duty, tax, and fee estimates reported at the header or line level.

If, however, a formal entry MPF minimum or maximum applies and was reported in the Total Fee Detail (89-Record), however, the MPF portion of the Grand Total User Fee Amount must be the minimum/maximum amount (rather the true sum of the article MPF estimates).

y) Payment Intent & Statements

The following tables describe the payment intent specific reporting requirements.

Note: An Entry Summary submitted to ACE must be initially on a statement (either Daily or Periodic Monthly).

Individual Payment (Non-Statement)

When 10-Record Payment Type Code = 1 **Note: Conditional use at the time. Allowed ONLY when an Entry Summary that was initially on a statement has been removed from that statement. A Payment Type Code of '1' is then allowed in a correction if the Entry Summary is to remain 'non-statement'.**

Control ID/Data Element	Reporting	Individual Payment (Not-Statement) Notes
10-Record Preliminary Statement Print Date	N	Not allowed.
10-Record Periodic Statement Month	N	Not allowed.
10-Record Statement Client Branch Identifier	N	Not allowed.
60-Record (IR Tax Information) reporting	A	No article restrictions.

Reporting Key: **M** = Mandatory, **C** = Conditionally Required, **A** = Allowed, **N** = NOT Allowed

Daily Statement

The Broker/Filer must be authorized for 'statement' processing.

When 10-Record Payment Type Code = 2, 3, or 5

Control ID/Data Element	Reporting	Daily Statement Payment Notes
10-Record Preliminary Statement Print Date	M	Report the date that the Entry Summary is to appear on the preliminary statement. The date must be in the future (yet not exceed 90-days) and cannot be a weekend day or a holiday.
10-Record Periodic Statement Month	N	Not allowed.
10-Record Statement Client Branch Identifier	C	Required if the Broker/Filer has pre-specified the use of this feature (see Client Representative), otherwise not allowed.



Control ID/Data Element	Reporting	Daily Statement Payment Notes
60-Record (IR Tax Information) reporting	A	No article restrictions.

Reporting Key: **M** = Mandatory, **C** = Conditionally Required, **A** = Allowed, **N** = NOT Allowed

Periodic Monthly Statement (Broker)

The Broker/Filer must be authorized for 'statement' processing.
Both the Broker/Filer and Importer must be an ACE account.

When 10-Record Payment Type Code = 6

Control ID/Data Element	Reporting	Period Monthly Statement (Broker) Payment Notes
10-Record Preliminary Statement Print Date	M	Report the date that the Entry Summary is to appear on the preliminary statement. The date cannot be a weekend day or a holiday. If the Preliminary Statement Print Date <u>month</u> is the same as the Periodic Statement Month, the Preliminary Statement Print date <u>day</u> must be earlier than the Broker/Filer's chosen periodic statement day.
10-Record Periodic Statement Month	M	Report the month that the Entry Summary is to be accounted for on the periodic statement. The reported month is always considered a future month. The month can be the current month, next month, or the month following that.
10-Record Statement Client Branch Identifier	C	Required if the Broker/Filer has pre-specified the use of this feature (see Client Representative), otherwise not allowed.
60-Record (IR Tax Information) reporting	N	An article subject to an IR tax is not allowed to be paid/disposed of on a monthly statement.

Reporting Key: **M** = Mandatory, **C** = Conditionally Required, **A** = Allowed, **N** = NOT Allowed

Periodic Monthly Statement (Importer)

The Broker/Filer must be authorized for 'statement' processing.
Both the Broker/Filer and Importer must be an ACE account.

When 10-Record Payment Type Code = 7 or 8

Control ID/Data Element	Reporting	Period Monthly Statement (Importer) Payment Notes
10-Record Preliminary Statement Print Date	M	Report the date that the Entry Summary is to appear on the preliminary statement. The date cannot be a weekend day or a holiday. If the Preliminary Statement Print Date <u>month</u> is the same as the Periodic Statement Month, the Preliminary Statement Print date <u>day</u> must be earlier than the Importer's chosen periodic statement day.
10-Record Periodic Statement Month	M	Report the month that the Entry Summary is to be accounted for on the periodic statement. The reported month is always considered a future month. The month can be the current month, next month, or the month



Control ID/Data Element	Reporting	Period Monthly Statement (Importer) Payment Notes
		following that.
10-Record Statement Client Branch Identifier	C	Required if the Broker/Filer has pre-specified the use of this feature (see Client Representative), otherwise not allowed.
60-Record (IR Tax Information) reporting	N	An article subject to an IR tax is not allowed to be paid/disposed of on a monthly statement.

Reporting Key: **M** = Mandatory, **C** = Conditionally Required, **A** = Allowed, **N** = NOT Allowed

Correcting a 'Rejected' Entry Summary Once Payment Has Been Made

When correcting/amending an Entry Summary that has been 'returned' to the Filer after the initial Payment has been made (e.g., 'rejected' by an Import Specialist) NO payment intent information is allowed.

Control ID/Data Element	Reporting	Correction Scenario Notes
10-Record Payment Type Code	N	Not allowed; report spaces.
10-Record Preliminary Statement Print Date	N	Not allowed; report spaces.
10-Record Periodic Statement Month	N	Not allowed; report spaces.
10-Record Statement Client Branch Identifier	N	Not allowed; report spaces.

Reporting Key: **M** = Mandatory, **C** = Conditionally Required, **A** = Allowed, **N** = NOT Allowed

z) Consolidating Releases Under One Entry Summary

Specify that the Entry Summary accounts for (i.e., 'consolidates') the cargo referenced in more than one Cargo Release (entry) transaction by marking the 10-Record Consolidated Summary Indicator with a 'Y'.

A Consolidated Summary claim can only be made on Entry Types **01** (Consumption – Free & Dutiable), **06** (FTZ), and **11** (Informal – Free & Dutiable).

The cargo referenced in the 'consolidating' Entry Summary cannot have been released (i.e., a Cargo Release (entry) transaction cannot be on file for the Entry Summary Entry Number).

EACH and EVERY 'release' cited in the Consolidated Release Grouping:

- Must be for the same Filer Code as submitted in the 'consolidating' Entry Summary 10-Record.
- Must be a previously established 'release' made in the same CBP district as the 'consolidating' Entry Summary.
- Cannot have already been consolidated under another 'consolidating' Entry Summary.
- Must all have been 'released' within a single seven-day period.
- Must all have been transported under the same transportation mode (i.e., MOT Code).
- If transported by air (i.e., MOT Code = **40** or **41**), must all have been transported by the same Carrier.

Furthermore:



- The Country of Origin Code reported on EACH and EVERY Line Item of the 'consolidating' Entry Summary MUST be the same (or co-mingled with 'US').
- The Country of Export Code reported on EACH and EVERY Line Item of the 'consolidating' Entry Summary MUST be the same.

Note that the \$2,000 merchandise value limit for an Informal entry is not applied to a Consolidating Summary.

aa) Claiming Future Reconciliation

Specify a future 'reconciliation' by marking the 10-Record NAFTA Reconciliation Indicator with a 'Y' and/or specifying the relevant issue(s) in the 10-Record Reconciliation Issue Code.

A Reconciliation claim can only be made on Entry Types **01** (Consumption – Free & Dutiable), **02** (Consumption – Quota/Visa), and **06** (FTZ). A Reconciliation claim is not allowed, however, on an Entry Summary Entry Type **06** (FTZ) if ADD/CVD information has been reported.

When a Reconciliation Issue has been specified for an Entry Type **02** (Consumption – Quota/Visa) summary, 'classification' CANNOT be cited as the issue (i.e., only codes **001**, **003**, and **005** are allowed – 'value' and '9802').

When either a NAFTA or conventional reconciliation claim is made:

- The Filer must claim a continuous bond (Bond Type Code = **08**).
- The Importer of Record must be an approved 'reconciliation' Importer.

Furthermore, when a NAFTA reconciliation claim has been made (**OR** if not specifically made, the Importer is set up for 'blanket' NAFTA reconciliation), the 11-Record Date of Importation is required.

bb) Warehouse & Warehouse Withdrawal Entry Summaries

Making a Warehouse Entry Claim

- When making an Entry Type **21** (Warehouse) or **22** (Re-Warehouse) claim, the 11-Record Date of Importation is required and MUST be EARLIER than the relevant 'classification date' (i.e., the date used for the selection of duty rates).
- If relevant to the importation, include ADD/CVD case information.

Making a Warehouse Withdrawal Claim

Report the associated 'Warehouse' entry number in the Warehouse Withdrawal Information (30-Record).

- The associated Warehouse D/P (cited in the 30-Record) must match the Warehouse Withdrawal D/P (cited in the 10-Record), **or** the associated Warehouse District must be an authorized 'cross' district of the Warehouse Withdrawal's D/P.
- The 'cargo' referenced in the Warehouse Withdrawal cannot have been 'released'. (Note: Only the cargo associated with the Warehouse entry is 'released'; the filing of the 'withdrawal' is an Entry Summary activity only.)



- The Entry Summary cited as the associated 'Warehouse' entry must be a 'Warehouse' entry (Entry Type **21** or **22**) and must neither be fully paid, nor liquidated, nor cancelled. Furthermore, the submitted Warehouse D/P (cited in the 30-Record) must match the D/P found on the Entry Type **21** or **22** on file.
- The "import date" of the found Warehouse summary cannot be more than 5 years earlier than the 11-Record Estimated Entry Date of the submitted Withdrawal.
- An HMF-501 fee is never assessed on a Warehouse Withdrawal, and thus not allowed.
- If the Filer is paying by a statement, the payment must be made via ACH.

To make the 'final' Warehouse Withdrawal, mark the 30-Record Final Warehouse Withdrawal Indicator with a 'Y' (Final).

Once the 'final' Warehouse Withdrawal has been made for a Warehouse Entry, NO additional 'withdrawal' can be claimed. Note, however, that until such time that Warehouse Entry is fully paid, the Filer shall be able to remove the 'final' designation by submitting that existing Withdrawal and specifying 'Not Final'.

cc) Preemptively Overriding a Census Warning

Use the CW02-Record Census Warning Condition Override Code to preemptively 'override' a Census 'warning'. (See *'AE Table 10 – Census Warning Condition Override Codes'* and *'AE Table 11 – Census Warning Condition / Census Override Combinations'* for a list of codes supported in this transaction). The Broker/Filer is not required to use this override feature, however; the post Entry Summary acceptance CW Transaction can be used as well (not documented here).

Evaluation of the Census conditions shall be performed on the Entry Summary for *each* submission. If a Census condition was overridden in a previous submission, the condition must be overridden again for the latest submission (i.e., an Entry Summary submission 'resets' any previous override and preemptively applies an override, if provided).

dd) Reporting PGA Form Data

Various PGA form data is conditionally required in an Entry Summary filing.

Articles Subject to FCC Regulation

The Federal Communications Commission (FCC) requires that a FCC-740 (Statement Regarding the Importation of Radio Frequency Devices Capable of Causing Harmful Interference) be filed for merchandise identified as containing radio frequency devices.

Use the instructions provided here and in the EXISTING CATAIR: *'Other Government Agencies'* chapter.

Articles Subject to FDA Regulation

The Food and Drug Administration requires that a copy of the CBPF-3461 and supporting FDA documents (FDA-701) be filed for merchandise subject to their review.



A detailed description of the FDA 701 data elements in the PGA Grouping can be found in the EXISTING CATAIR: 'Other Government Agencies' chapter.

There are several FDA data reporting restrictions in an Entry Summary Filing, however:

When...	FDA Data Reporting	Notes
10-Record Cargo Release Certification Request Indicator = Y (Certify for Cargo Release).	A	Allowed, but not required in the Entry Summary submission. If the Entry Summary filing is successful, any FDA data found in the submission will be 'validated' as part of the 'request for Cargo Release' process. Error conditions, if found, (including missing FDA information) shall be returned to the Filer in a separate response transaction.
10-Record Cargo Release Certification Request Indicator = <space> (no request).	N	Never allowed. Note that this may include a case where Cargo was released via a Line Release mechanism (FAST, BRASS, or Rail Line Release) <i>YET</i> no article was found to be subject to FDA reporting.
Cargo was released via a Line Release mechanism (FAST, BRASS, or Rail Line Release) <i>AND</i> any article found to be subject to FDA reporting (either 701 required or 701 may be required or BTA Prior Notice).	N	Neither FDA data nor disclaimer allowed. Submit the Entry Summary using the EI (ACS) transaction.

Reporting Key: **M** = Mandatory, **C** = Conditionally Required, **A** = Allowed, **N** = NOT Allowed

Articles Subject to DOT/NHTSA Regulation

The U.S. Department of Transportation (DOT), National Highway Traffic Safety Administration (NHTSA), requires a Declaration for Importation of Motor Vehicles and Motor Vehicle Equipment Subject to Federal Motor Vehicle Safety, Bumper and Theft Prevention Standards (HS-7) be filed for merchandise subject to their review.

A detailed description of the DOT HS-7 data elements in the PGA Grouping can be found in the EXISTING CATAIR: 'Other Government Agencies' chapter.

There are several DOT/NHTSA data reporting restrictions in an Entry Summary Filing, however:

When...	DOT / NHTSA Data Reporting	Notes
10-Record Cargo Release Certification Request Indicator = Y (Certify for Cargo Release).	A	Allowed, but not required in the Entry Summary submission. If the Entry Summary filing is successful, any DOT/NHTSA data found in the submission will be 'validated' as part of the 'request for Cargo Release' process. Error conditions, if found, (including



When...	DOT / NHTSA Data Reporting	Notes
		missing DOT/NHTSA information) shall be returned to the Filer in a separate response transaction.
10-Record Cargo Release Certification Request Indicator = <space> (no request).	N	Never allowed. Note that this may include a case where Cargo was released via a Line Release mechanism (FAST, BRASS, or Rail Line Release) <i>YET</i> no article was found to be subject to DOT/NHTSA reporting.
Cargo was released via a Line Release mechanism (FAST, BRASS, or Rail Line Release) <i>AND</i> any article found to be subject to DOT/NHTSA reporting (either HS-7 required or HS-7 may be required).	N	Neither DOT data nor disclaimer allowed. Submit the Entry Summary using the EI (ACS) transaction.

Reporting Key: **M** = Mandatory, **C** = Conditionally Required, **A** = Allowed, **N** = NOT Allowed

ee) Certifying for Cargo Release

An Entry Summary response shall no longer include the results of a request to 'Certify for Cargo Release' (i.e., 10-Record Cargo Release Certification Request Indicator = Y).

If the Entry Summary filing is successful, and a certification request has been made, an Entry shall be conditionally created as part of the 'request for Cargo Release' process. Error conditions, if found, shall be returned to the Filer in a separate response transaction.

In addition to the 10-Record Entry Filer Code, Entry Number, and Entry Type Code, the following tables lists the Entry Summary data elements that are used by the Cargo System to 'Certify for Cargo Release':

Control ID or (Record/Grouping)	Data Element Name
10-Record	District Port of Entry
10-Record	Mode of Transportation Code (MOT)
10-Record	Bond Type Code
11-Record	Importer of Record Number
11-Record	Ultimate Consignee Number
11-Record	Surety Code
20-Record	Carrier Code
20-Record	District/Port of Unlading
20-Record	Estimated Date of Arrival
20-Record	Location of Goods Code
20-Record	Conveyance Name
20-Record	Vessel Code



Control ID or (Record/Grouping)	Data Element Name
21-Record <i>(Cargo Manifest Grouping)</i>	Trip Identifier
40-Record	Country of Origin Code
47-Record	Manufacturer/Supplier Code
50-Record	HTS Number
50-Record <i>(DOT Grouping)</i>	Value of Goods Amount
<i>(FDA Grouping)</i>	



Output Record Structure Map

The following table illustrates how repeating groups are structured and returned in a proprietary format Entry Summary filing response by ACE.

Control ID	Name	Designation	Loop Repeat
	Entry Summary Response TRANSACTION Grouping		
E0	Entry Summary Condition Reference (SUMMRY)	M	
	Condition Reference Grouping	C	> 1
E0	Entry Summary Condition Reference	M	
	Condition Grouping	C	> 1
E1	Entry Summary Condition /Disposition Response	M	
E1	Entry Summary Condition/ Disposition Response	M	

Entry Summary Response OUTPUT Record Layout.

Block Control Grouping

An output 'block' consists of Entry Summary *response* transactions 'enclosed' in an envelope. The block records conditionally inform the Filer as to the disposition of each Entry Summary declared on the input block. Each block begins with a B-Record and concludes with a Y-Record.

Block Control Header (Output B-Record)

The B-Record begins an output block control grouping and will be returned for all Entry Summary filings.

For a B-Record returned in response to an implicitly accepted block of an input Entry Summary filing, the response will closely mirror the input B-Record. *(Note: The data element names and descriptions specified here are relevant to a B-Record used for an Entry Summary filing only and may be neither consistent nor applicable to any other application.)*

B-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	1AN	1-1	M	Always B	
Block Number	2N	2-3	M	The relative sequence of the Block within the A-, Z-Record Batch envelope.	1
Processing District/Port Code	4AN	4-7	M	The code for the U.S. port that the enclosed Entry Summary(s) are to be processed (including where the statement shall be printed).	1
Entry Filer Code	3AN	8-10	M	Entry Filer's identification code (as assigned by CBP).	1
Application Identifier	2AN	11-12	M	Always AX .	



B-Record Data Element	Length/Class	Position	Desig	Description	Note
Filler (Statement Status Code, Statement Number, Preliminary Statement Print Date, Payment Type Indicator, Importer of Record Number, Client Branch Designation)	32S	13-44	M	Space fill. <i>These data element are reserved for an output statement response message only.</i>	
Processing Filer Office Code	2AN	45-46	C	The Entry Filer's office code. (Note: This code must be coordinated with CBP and associated with the Filer's automated profile). Space fill if not used.	1
Remote Preparer District/ Port Code	4AN	47-50	C	The code for the U.S. port location of the Remote Preparer. Space fill if not a remotely prepared Entry Summary block.	1
Remote Preparer Filer Code	3AN	51-53	C	Remote Filer's (Preparer) identification code (as assigned by CBP). Space fill if not a remotely prepared Entry Summary block.	1
Remote Preparer Office Code	2AN	54-55	C	The Remote Filer's (Preparer) office code. (Note: This code must be coordinated with CBP and associated with the Filer's automated profile). Space fill if neither a remotely prepared Entry Summary block nor used.	1
Remotely Filed Summary Indicator	1AN	56-56	C	An indication that the Entry Summary block has been prepared and filed 'remotely' in accordance with the Broker District permit rules as set forth in CBP regulation. <Space> = Not a Remote Entry Summary submission. 1 = Remote Entry Summary submission.	1
<i>Reserved filler for possible future expansion of Entry Filer Code.</i>	4S	57-60	M	Space fill.	



B-Record Data Element	Length/Class	Position	Desig	Description	Note
<i>Reserved filler for possible future expansion of Remote Preparer Filer Code.</i>	4S	61-64	M	Space fill.	
User Data Text	16X	65-80	C	Text field shall be returned, unchanged, in the response B-Record.	1

1) The value is returned, unchanged, from the Block Control Header (B-Record) received as input.



Entry Summary Response TRANSACTION Grouping

An Entry Summary Condition Reference (type: **SUMMARY**) will unconditionally be returned to the Filer/Transmitter in an output response.

Other Entry Summary Condition Reference types may also conditionally be returned to the Filer/Transmitter in an output response, as well, as an aid to identify which data element in the submission has caused or contributed to a fatal, warning, or informational condition.

Entry Summary Condition Reference (Output E0).

The Entry Summary Condition Reference may be returned in the output multiple times within a single response Entry Summary Response TRANSACTION Grouping.

The output record conveys to the Filer/Transmitter which data grouping component in the submission has caused or contributed to a fatal, warning, or informational condition.

E0-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	2AN	1-2	M	Always E0	
Filler	1S	3-3	M	Always space.	
Reference Data Type Code	6AN	4-9		An indication as to the type of reference information returned. See Table 1 ' <i>Returned Entry Summary Reference Data</i> ' for a list of codes.	
Filler	1S	10-10	M	Always space fill.	
Occurrence Position	6N	11-16	M	If a repeating group, the relative position of the submitted input detail within the grouping, otherwise zero.	
Filler	1S	17-17	M	Always space fill.	
Reference ID Constant	7X	18-24	M	Always ' REF ID: '.	
Filler	1S	25-25	M	Always space fill.	
Reference Data Text	55X	26-80	M	Identifying data extracted from the submitted input that corresponds to the Reference Data Type Code. See Table 1 ' <i>Returned Entry Summary Reference Data</i> ' for details of the returned data.	



Returned Entry Summary Reference Data

Table 1: Returned Entry Summary Reference Data (New ACE Format Version)

Reference Data Type Code	Description / Usage	Returned 'Reference Data Text' Content (New ACE Version)														
SUMMRY	<p>Description: Entry Summary Identifier.</p> <p>Usage: Occurrence Position = the relative sequence of the Entry Summary Transaction within the Block Control Grouping. Type will unconditionally be returned.</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>1-3</td> <td>Entry Filer Code (10-Record)</td> </tr> <tr> <td>4-4</td> <td>Space.</td> </tr> <tr> <td>5-12</td> <td>Entry Number (10-Record)</td> </tr> <tr> <td>13-13</td> <td>Space.</td> </tr> <tr> <td>14-25</td> <td>Broker Reference Number (10-Record)</td> </tr> <tr> <td>26-55</td> <td>Space.</td> </tr> </tbody> </table>	Position	Description / Source	1-3	Entry Filer Code (10-Record)	4-4	Space.	5-12	Entry Number (10-Record)	13-13	Space.	14-25	Broker Reference Number (10-Record)	26-55	Space.
Position	Description / Source															
1-3	Entry Filer Code (10-Record)															
4-4	Space.															
5-12	Entry Number (10-Record)															
13-13	Space.															
14-25	Broker Reference Number (10-Record)															
26-55	Space.															
CARMAN	<p>Description: Cargo manifest detail grouping.</p> <p>Usage: Occurrence Position = the relative sequence of the Cargo Manifest Detail (22-Record) within the Entry Summary HEADER Grouping.</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>1-8</td> <td>Manifested Quantity (22-Record)</td> </tr> <tr> <td>9-9</td> <td>Space.</td> </tr> <tr> <td>10-14</td> <td>Manifested Quantity Unit of Measure Code (22-Record)</td> </tr> <tr> <td>15-55</td> <td>Space.</td> </tr> </tbody> </table>	Position	Description / Source	1-8	Manifested Quantity (22-Record)	9-9	Space.	10-14	Manifested Quantity Unit of Measure Code (22-Record)	15-55	Space.				
Position	Description / Source															
1-8	Manifested Quantity (22-Record)															
9-9	Space.															
10-14	Manifested Quantity Unit of Measure Code (22-Record)															
15-55	Space.															
BOLINB	<p>Description: Bill-of-Lading/In-Bond grouping.</p> <p>Usage: Occurrence Position = the relative sequence of the Bill of Lading/In-bond Detail (23-Record) within the Cargo Manifest Detail (22-Record).</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>1-1</td> <td>Manifest Component Type Code (23-Record)</td> </tr> <tr> <td>2-2</td> <td>Space.</td> </tr> <tr> <td>3-55</td> <td>Manifest Component Identifier (23-Record)</td> </tr> </tbody> </table>	Position	Description / Source	1-1	Manifest Component Type Code (23-Record)	2-2	Space.	3-55	Manifest Component Identifier (23-Record)						
Position	Description / Source															
1-1	Manifest Component Type Code (23-Record)															
2-2	Space.															
3-55	Manifest Component Identifier (23-Record)															
CONREL	<p>Description: Consolidated release grouping.</p> <p>Usage: Occurrence Position = the relative sequence of the Release Entry Filer Code/Number within <u>ALL</u> Release Details (32-Record) within the Entry Summary HEADER Grouping.</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>1-3</td> <td>Release Entry Filer Code (32-Record)</td> </tr> <tr> <td>4-4</td> <td>Space.</td> </tr> <tr> <td>5-12</td> <td>Release Entry Number (32-Record)</td> </tr> <tr> <td>13-55</td> <td>Space.</td> </tr> </tbody> </table>	Position	Description / Source	1-3	Release Entry Filer Code (32-Record)	4-4	Space.	5-12	Release Entry Number (32-Record)	13-55	Space.				
Position	Description / Source															
1-3	Release Entry Filer Code (32-Record)															
4-4	Space.															
5-12	Release Entry Number (32-Record)															
13-55	Space.															
MISDOC	<p>Description: Miscellaneous document grouping.</p> <p>Usage: Occurrence Position = the relative sequence of the Missing Document Code (1 or 2) within the Missing Document Information (33-Record).</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>1-2</td> <td>Missing Document Code (33-Record)</td> </tr> <tr> <td>3-55</td> <td>Space.</td> </tr> </tbody> </table>	Position	Description / Source	1-2	Missing Document Code (33-Record)	3-55	Space.								
Position	Description / Source															
1-2	Missing Document Code (33-Record)															
3-55	Space.															



Reference Data Type Code	Description / Usage	Returned 'Reference Data Text' Content (New ACE Version)										
HDRFEE	<p>Description: Header fee grouping.</p> <p>Usage: Occurrence Position = the relative sequence of the Accounting Class Code (1 or 2) within the Entry Summary Header Fees (34-Record).</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>1-3</td> <td>Accounting Class Code (34-Record)</td> </tr> <tr> <td>4-4</td> <td>Space.</td> </tr> <tr> <td>5-12</td> <td>Header Fee Amount (34-Record)</td> </tr> <tr> <td>13-55</td> <td>Space.</td> </tr> </tbody> </table>	Position	Description / Source	1-3	Accounting Class Code (34-Record)	4-4	Space.	5-12	Header Fee Amount (34-Record)	13-55	Space.
Position	Description / Source											
1-3	Accounting Class Code (34-Record)											
4-4	Space.											
5-12	Header Fee Amount (34-Record)											
13-55	Space.											
LINITM	<p>Description: Line item grouping.</p> <p>Usage: Occurrence Position = the relative sequence of the Line Item Header (40-Record) within the Entry Summary TRANSACTION Grouping.</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>1-3</td> <td>Line Item Identifier (40-Record)</td> </tr> <tr> <td>4-55</td> <td>Space.</td> </tr> </tbody> </table>	Position	Description / Source	1-3	Line Item Identifier (40-Record)	4-55	Space.				
Position	Description / Source											
1-3	Line Item Identifier (40-Record)											
4-55	Space.											
EIPINV	<p>Description: EIP invoice grouping.</p> <p>Usage: Occurrence Position = the relative sequence of the Invoice Line Reference Detail (42-Record) within the Entry Summary LINE Grouping.</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>1-15</td> <td>Supplier ID Code (42-Record)</td> </tr> <tr> <td>16-16</td> <td>Space.</td> </tr> <tr> <td>17-33</td> <td>Invoice Number (42-Record)</td> </tr> <tr> <td>34-55</td> <td>Space.</td> </tr> </tbody> </table>	Position	Description / Source	1-15	Supplier ID Code (42-Record)	16-16	Space.	17-33	Invoice Number (42-Record)	34-55	Space.
Position	Description / Source											
1-15	Supplier ID Code (42-Record)											
16-16	Space.											
17-33	Invoice Number (42-Record)											
34-55	Space.											
INVLIN	<p>Description: EIP invoice line range grouping.</p> <p>Usage: Occurrence Position = the relative sequence of the Invoice Line Range (1-5) within the Line Reference Detail (42-Record).</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>1-4</td> <td>Invoice Line Range – Begin (42-Record)</td> </tr> <tr> <td>5-5</td> <td>Space.</td> </tr> <tr> <td>6-9</td> <td>Invoice Line Range - End (42-Record)</td> </tr> <tr> <td>10-55</td> <td>Space.</td> </tr> </tbody> </table>	Position	Description / Source	1-4	Invoice Line Range – Begin (42-Record)	5-5	Space.	6-9	Invoice Line Range - End (42-Record)	10-55	Space.
Position	Description / Source											
1-4	Invoice Line Range – Begin (42-Record)											
5-5	Space.											
6-9	Invoice Line Range - End (42-Record)											
10-55	Space.											
COMDES	<p>Description: Commercial description grouping.</p> <p>Usage: Occurrence Position = the relative sequence of the Commercial Description Detail (43-Record) within the Entry Summary LINE ITEM Grouping or EIP Invoice Grouping.</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>1-55</td> <td>Commercial Description Text (43-Record)</td> </tr> </tbody> </table>	Position	Description / Source	1-55	Commercial Description Text (43-Record)						
Position	Description / Source											
1-55	Commercial Description Text (43-Record)											



Reference Data Type Code	Description / Usage	Returned 'Reference Data Text' Content (New ACE Version)										
TARIFF	<p>Description: Harmonized tariff number grouping.</p> <p>Usage: Occurrence Position = the relative sequence of the Tariff/Value/Quantity Detail (50-Record) within the Entry Summary LINE ITEM Grouping.</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>1-10</td> <td>HTS Number (50-Record)</td> </tr> <tr> <td>11-55</td> <td>Space.</td> </tr> </tbody> </table>	Position	Description / Source	1-10	HTS Number (50-Record)	11-55	Space.				
Position	Description / Source											
1-10	HTS Number (50-Record)											
11-55	Space.											
TARQTY	<p>Description: Harmonized tariff quantity/UOM grouping.</p> <p>Usage: Occurrence Position = the relative sequence of the Quantity / Unit of Measure Code (1, 2, or 3) within the relevant Tariff / Value/ Quantity Detail (50-Record).</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>1-12</td> <td>Quantity (50-Record)</td> </tr> <tr> <td>13-13</td> <td>Space.</td> </tr> <tr> <td>14-16</td> <td>Unit of Measure Code (50-Record)</td> </tr> <tr> <td>17-55</td> <td>Space.</td> </tr> </tbody> </table>	Position	Description / Source	1-12	Quantity (50-Record)	13-13	Space.	14-16	Unit of Measure Code (50-Record)	17-55	Space.
Position	Description / Source											
1-12	Quantity (50-Record)											
13-13	Space.											
14-16	Unit of Measure Code (50-Record)											
17-55	Space.											
LICNSE	<p>Description: License detail grouping.</p> <p>Usage: Occurrence Position = the relative sequence of the License / Permit / Certificate Detail (52-Record) within the Entry Summary LINE ITEM Grouping.</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>1-2</td> <td>License / Certificate / Permit Type Code (52-Record)</td> </tr> <tr> <td>3-3</td> <td>Space.</td> </tr> <tr> <td>4-15</td> <td>License Number / Certificate Number / Permit Number (52-Record)</td> </tr> <tr> <td>16-55</td> <td>Space.</td> </tr> </tbody> </table>	Position	Description / Source	1-2	License / Certificate / Permit Type Code (52-Record)	3-3	Space.	4-15	License Number / Certificate Number / Permit Number (52-Record)	16-55	Space.
Position	Description / Source											
1-2	License / Certificate / Permit Type Code (52-Record)											
3-3	Space.											
4-15	License Number / Certificate Number / Permit Number (52-Record)											
16-55	Space.											
ADDCVD	<p>Description: ADD/CVD case grouping.</p> <p>Usage: Occurrence Position = the relative sequence of the ADD/CVD Case Detail (53-Record) within the Entry Summary LINE ITEM Grouping.</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>1-1</td> <td>Case Type Indicator (53-Record)</td> </tr> <tr> <td>2-2</td> <td>Space.</td> </tr> <tr> <td>3-12</td> <td>Case Number (53-Record)</td> </tr> <tr> <td>13-55</td> <td>Space.</td> </tr> </tbody> </table>	Position	Description / Source	1-1	Case Type Indicator (53-Record)	2-2	Space.	3-12	Case Number (53-Record)	13-55	Space.
Position	Description / Source											
1-1	Case Type Indicator (53-Record)											
2-2	Space.											
3-12	Case Number (53-Record)											
13-55	Space.											
LINFEE	<p>Description: Line fee grouping.</p> <p>Usage: Occurrence Position = the relative sequence of the Line User Fee Detail (62-Record) within the Entry Summary LINE ITEM Grouping.</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>1-3</td> <td>Accounting Class Code (62-Record)</td> </tr> <tr> <td>4-4</td> <td>Space.</td> </tr> <tr> <td>5-12</td> <td>User Fee Amount (62-Record)</td> </tr> <tr> <td>13-55</td> <td>Space.</td> </tr> </tbody> </table>	Position	Description / Source	1-3	Accounting Class Code (62-Record)	4-4	Space.	5-12	User Fee Amount (62-Record)	13-55	Space.
Position	Description / Source											
1-3	Accounting Class Code (62-Record)											
4-4	Space.											
5-12	User Fee Amount (62-Record)											
13-55	Space.											
CENWRN	<p>Description: Census warning condition override grouping.</p> <p>Usage: Occurrence Position = the relative sequence of the Census Warning Condition</p>											



Reference Data Type Code	Description / Usage	Returned 'Reference Data Text' Content (New ACE Version)	
		Position	Description / Source
	Code (1-7) within the Census Warning Condition Override Information (CW02-Record).	1-3	Census Warning Condition Code (CW02-Record)
		4-4	Space.
		5-6	Census Warning Condition Override Code (CW02-Record)
		7-55	Space.
PGADIS	Description: PGA reporting disclaimer grouping. Usage: Occurrence Position = the relative sequence of the PGA Form Disclaimer Code of within the PGA Disclaimer (OA-Record).	1-3	PGA Form Disclaimer Code (OA-Record)
		4-55	Space.
DOTLIN	Description: DOT line grouping. Usage: Occurrence Position = the relative sequence of the HS-7 Header (DT01-Record) within the PGA Grouping.	1-3	DOT Line Number (DT01-Record)
		4-55	Space.
DOTVEH	Description: DOT vehicle grouping. Usage: Occurrence Position = the relative sequence of the Vehicle Identification Number of within <u>ALL</u> HS-7 Vehicle Details (DT03-Record) within the DOT Grouping.	1-17	Vehicle Identification Number (DT03-Record)
		18-55	Space.
FCCLIN	Description: FCC line grouping. Usage: Occurrence Position = the relative sequence of the 740 Header (FC01-Record) within the PGA Grouping.	1-3	FCC Line Number (FC01-Record)
		4-55	Space.
FDALIN	Description: FDA line grouping. Usage: Occurrence Position = the relative sequence of the 701 Header (FD01-Record) within the PGA Grouping.	1-3	FDA Line Number (FD01-Record)
		4-55	Space.



Reference Data Type Code	Description / Usage	Returned 'Reference Data Text' Content (New ACE Version)														
FDAACT	<p>Description: FDA affirmation of compliance grouping.</p> <p>Usage: Occurrence Position = the relative sequence of the 701 Affirmation of Compliance (FD05-Record) within the FDA Grouping.</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>1-3</td> <td>Affirmation of Compliance Code (FD05-Record)</td> </tr> <tr> <td>4-4</td> <td>Space.</td> </tr> <tr> <td>5-29</td> <td>Affirmation of Compliance Qualifier (FD05-Record)</td> </tr> <tr> <td>30-55</td> <td>Space.</td> </tr> </tbody> </table>	Position	Description / Source	1-3	Affirmation of Compliance Code (FD05-Record)	4-4	Space.	5-29	Affirmation of Compliance Qualifier (FD05-Record)	30-55	Space.				
Position	Description / Source															
1-3	Affirmation of Compliance Code (FD05-Record)															
4-4	Space.															
5-29	Affirmation of Compliance Qualifier (FD05-Record)															
30-55	Space.															
FDAQTY	<p>Description: FDA quantity/UOM grouping.</p> <p>Usage: Occurrence Position = the relative sequence of the <i>quantity/UOM</i> (1-5) within the FDA Quantity/UOM Detail (FD02-Record).</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>1-10</td> <td>FDA Quantity (FD02-Record)</td> </tr> <tr> <td>11-11</td> <td>Space.</td> </tr> <tr> <td>12-15</td> <td>FDA UOM Code (FD02-Record)</td> </tr> <tr> <td>16-55</td> <td>Space.</td> </tr> </tbody> </table>	Position	Description / Source	1-10	FDA Quantity (FD02-Record)	11-11	Space.	12-15	FDA UOM Code (FD02-Record)	16-55	Space.				
Position	Description / Source															
1-10	FDA Quantity (FD02-Record)															
11-11	Space.															
12-15	FDA UOM Code (FD02-Record)															
16-55	Space.															
FDADIM	<p>Description: FDA container dimension grouping.</p> <p>Usage: Occurrence Position = the relative sequence of the <i>container dimension</i> (1-3) within the FDA Quantity/UOM Detail (FD03-Record).</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>1-4</td> <td>Container Dimension (FD03-Record)</td> </tr> <tr> <td>5-55</td> <td>Space.</td> </tr> </tbody> </table>	Position	Description / Source	1-4	Container Dimension (FD03-Record)	5-55	Space.								
Position	Description / Source															
1-4	Container Dimension (FD03-Record)															
5-55	Space.															
PSTLIN	<p>Description: Post line identifier.</p> <p>Usage: Occurrence Position = the relative sequence of the Entry Summary Transaction within the Block Control Grouping. Type will unconditionally be returned when ANY post-line condition is found.</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>1-3</td> <td>Entry Filer Code (10-Record)</td> </tr> <tr> <td>4-4</td> <td>Space.</td> </tr> <tr> <td>5-12</td> <td>Entry Number (10-Record)</td> </tr> <tr> <td>13-13</td> <td>Space.</td> </tr> <tr> <td>14-25</td> <td>Broker Reference Number (10-Record)</td> </tr> <tr> <td>26-55</td> <td>Space.</td> </tr> </tbody> </table>	Position	Description / Source	1-3	Entry Filer Code (10-Record)	4-4	Space.	5-12	Entry Number (10-Record)	13-13	Space.	14-25	Broker Reference Number (10-Record)	26-55	Space.
Position	Description / Source															
1-3	Entry Filer Code (10-Record)															
4-4	Space.															
5-12	Entry Number (10-Record)															
13-13	Space.															
14-25	Broker Reference Number (10-Record)															
26-55	Space.															
TOTALS	<p>Description: Totals/trailer identifier.</p> <p>Usage: Occurrence Position = the relative sequence of the Entry Summary Transaction within the Block Control Grouping. Type will unconditionally be returned when ANY condition found in the Entry Summary TOTALS Grouping.</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>1-3</td> <td>Entry Filer Code (10-Record)</td> </tr> <tr> <td>4-4</td> <td>Space.</td> </tr> <tr> <td>5-12</td> <td>Entry Number (10-Record)</td> </tr> <tr> <td>13-13</td> <td>Space.</td> </tr> <tr> <td>14-25</td> <td>Broker Reference Number (10-Record)</td> </tr> <tr> <td>26-55</td> <td>Space.</td> </tr> </tbody> </table>	Position	Description / Source	1-3	Entry Filer Code (10-Record)	4-4	Space.	5-12	Entry Number (10-Record)	13-13	Space.	14-25	Broker Reference Number (10-Record)	26-55	Space.
Position	Description / Source															
1-3	Entry Filer Code (10-Record)															
4-4	Space.															
5-12	Entry Number (10-Record)															
13-13	Space.															
14-25	Broker Reference Number (10-Record)															
26-55	Space.															



Reference Data Type Code	Description / Usage	Returned 'Reference Data Text' Content (New ACE Version)										
FEETOT	<p>Description: Fee total grouping.</p> <p>Usage: Occurrence Position = the relative sequence of the Accounting Class Code within <u>ALL</u> Fee Total Details (89-Record) within the Entry Summary TOTALS Grouping.</p>	<table border="1"> <thead> <tr> <th data-bbox="813 575 943 604">Position</th> <th data-bbox="943 575 1442 604">Description / Source</th> </tr> </thead> <tbody> <tr> <td data-bbox="813 604 943 634">1-3</td> <td data-bbox="943 604 1442 634">Accounting Class Code (89-Record)</td> </tr> <tr> <td data-bbox="813 634 943 663">4-4</td> <td data-bbox="943 634 1442 663">Space.</td> </tr> <tr> <td data-bbox="813 663 943 693">5-15</td> <td data-bbox="943 663 1442 693">Total Fee Amount (89-Record)</td> </tr> <tr> <td data-bbox="813 693 943 722">16-55</td> <td data-bbox="943 693 1442 722">Space.</td> </tr> </tbody> </table>	Position	Description / Source	1-3	Accounting Class Code (89-Record)	4-4	Space.	5-15	Total Fee Amount (89-Record)	16-55	Space.
Position	Description / Source											
1-3	Accounting Class Code (89-Record)											
4-4	Space.											
5-15	Total Fee Amount (89-Record)											
16-55	Space.											



Entry Summary Condition/Disposition Response (Output E1).

The Entry Summary Condition/Disposition Response may be returned in the output multiple times within a single response Entry Summary Response TRANSACTION Grouping.

The output record conveys to the Filer/Transmitter a single, discreet *condition* regarding an input validation or the *final disposition* of the requested action.

E1-Record Data Element	Length/Class	Position	Desig	Description	Note
Control Identifier	2AN	1-2	M	Always E1	
Disposition Type Code	1AN	3-3	M	An indication as to CBP's final disposition of action requested. Space = Not a final disposition record. A = Final disposition; the transactions has been ACCEPTED by CBP; the action requested was successfully performed. R = Final disposition; the transaction has been REJECTED by CBP; the action requested was NOT performed.	1
Severity Code	1AN	4-4	M	An indication as to the severity of the condition (if any): <ul style="list-style-type: none"> • F = 'Fatally' invalid data or critical error. • W = Census warning. • I = Informational notice ONLY. • Space = No condition(s) encountered. 	2, 3
Condition Code	3AN	5-7	M	Code that identifies the condition or final disposition regarding an Entry Summary filing.	
Filler	2S	8-9	M	Always space fill.	
Reason Code	1AN	10-10	C	A further identification of the condition for CBP internal use ONLY.	
Narrative Text	40AN	11-50	M	Text description that corresponds to the Condition Code.	
Entry Filer Code	3AN	51-53	C	Filer's identification code as reported on the input 10-Record.	
<i>Reserved filler for possible future expansion of Entry Filer Code and/or Entry Number</i>	2S	54-55	M	Space fill.	
Entry Number	8AN	56-63	C	Unique identifying number assigned to the Entry by the Filer as reported on the input 10-Record.	
Filler	5S	64-68	M	Always space fill.	



E1-Record Data Element	Length/Class	Position	Desig	Description	Note
Broker Reference Number	9X	69-77	C	Conditionally, the Filer/Preparer's internal Entry Summary identifier as reported on the input, if any.	4
<i>Reserved filler for future expansion of the Broker Reference Number when fully ACE compatible.</i>	3S	78-80	M	Space fill until expanded length fully supported.	

- 1) The data element will be space if the record is NOT a final disposition.
- 2) A *Census warning* condition is noted when the reported Entry Summary data conflicts with a pre-established Census 'expected range' parameter; the data may or may NOT be correct. A Census warning condition is generated in an Entry Summary Response ONLY. It is the responsibility of the trade participant to verify the veracity of the Entry Summary data and make corrections or submit a Census Warning Override Code. In a *final disposition* Entry Summary response, if no fatal conditions are found, yet one or more Census warning conditions are found, the Severity Indicator will be 'W'.
- 3) An *informational* condition results in a non-critical notification to the filer. No action is expected from the trade participant. If a *final disposition* Entry Summary response, if neither fatal, nor Census warning conditions are found, yet one or more informational notations are found, the Severity Indicator will be 'I'.
- 4) In the event that the Broker Reference Number is required to match, yet does not match the value on file, this data element shall be the Filer/Preparer's internal Entry Summary identifier previously established.



Entry Summary Response - Usage Notes

The following sub-sections contain information regarding a returned filing response.

a) Entry Summary Batch Syntax Evaluation

Prior to the evaluation of the Entry Summary transaction content, an assessment will be made to determine if the ENTIRE BATCH (and all enclosed blocks and transactions) is suitable for Entry Summary transaction business data validations.

Response to an 'Unrecognizable' Entry Summary Transaction

If the record that...

- Immediately follows a B-Record **or**
- Immediately follows a Grand Totals – 90-Record (other than a Y-Record)

..is NOT an Entry Summary Header Control – 10-Record, then that 'Entry Summary transaction' and the ENTIRE BATCH will be rejected:

When **REJECTED**, a fatal condition E1-Record (narrative text ENTRY SUMMARY HEADER MISSING) will be returned followed immediately by the final disposition E1-Record (narrative text TRANSACTION DATA REJECTED).

Response to a Syntactically Incorrect Batch, Block, or Entry Summary Transaction

If the input does not conform to the structure, record sequence, item repeat, and grouping rules described in this document then the ENTIRE BATCH will be rejected. Validation of the BATCH shall immediately cease upon the discovery of a syntax problem.

When **REJECTED**, a fatal condition E1-Record that describes the syntax problem will be returned followed immediately by the final disposition E1-Record (narrative text TRANSACTION DATA REJECTED).



b) Entry Summary Final Dispositions

A disposition response will be returned with each Entry Summary transaction. An Entry Summary disposition response is an E1-Record in which the Disposition Type Code is set to **A** (Accepted) or **R** (Rejected). The disposition response also includes the *severity* of the most severe condition found. The table below can be used to determine the filing action required.

CodeDisposition Type	Severity Code	Description	Entry Summary Filing Action Required	Filer Action Required
R	F	Fatally Rejected. An indication that one or more Entry Summary data elements reported in the transaction contain invalid or conflicting data or the Entry Summary already exists and cannot and is not eligible to be updated.	If the Entry Summary already exists and is not eligible to be updated, no further action required. Otherwise correct immediately by changing the data elements as suggested by the resolution in ' <i>Entry Summary Response Condition Messages</i> ' and retransmit to CBP.	Review filing practices and software to ensure conformance with the interface.
A	W	Accepted with Census Warning. An indication that one or more data values reported for the Summary conflicts with a pre-established Census 'expected range' parameter.	If the data is in error, change the information and submit an Entry Summary 'correction'. If the submitted information conflicts with a pre-established Census parameter, yet is legitimate, submit a Census Warning Override Code. (Note: a Census Warning Override Code must be received for EACH and EVERY warning).	Verify the information reported. Prior to Census acceptance of the Entry Summary, submit a Census Warning Override Code for each warning generated. Review filing practices and software to ensure conformance with the interface.
A	I	Accepted with an Informational Notice. An indication that a non-critical condition has been encountered.	None required.	Use the information as suggested in ' <i>Entry Summary Response Condition Messages</i> '.
A		Unconditionally Accepted. An indication that no condition has been encountered.	None required.	None required.



c) Response to a 'Recognizable' Entry Summary Transaction Component

The data returned for an Entry Summary transaction will reflect the 'Summary Filing Action Request Code' specified in the Entry Summary Header Control – 10-Record.

When **ADD** or **REPLACE** (Summary Filing Action Request Code = **A** or **R**):

When **REJECTED**: fatal condition E1-Records will be returned. Census warning, and/or informational notice E1-Records may also be returned, if found. All condition E1-Records will correspond to and follow one or more E0-Records that shall convey to the Filer/Transmitter which data grouping component in the submission has caused, or contributed to, that condition. The final disposition E1-Record narrative text is TRANSACTION DATA REJECTED. If not previously filed, the Entry Summary is NOT established in CBP's automated system. Generally, if the Entry Summary has already been established, yet has not undergone any CBP acceptance process, the *previous* accepted Entry Summary is deleted. If, however, the Entry Summary has already been established, and has undergone CBP processing, the *previous* accepted Entry Summary is left intact.

When **ACCEPTED**: Census warning, and/or informational notice E1-Records may be returned, if found. All condition E1-Records will correspond to and follow one or more E0-Records that shall convey to the Filer/Transmitter which data grouping component in the submission has caused, or contributed to, that condition. The final disposition E1-Record record narrative text will reflect the condition under which CBP has accepted the data:

- If the Entry Summary information is being established in the automated system for the first time, the returned E1-Record narrative text shall be: SUMMARY HAS BEEN ADDED. The Entry Summary is initially established in CBP's automated system.
- If the Entry Summary information is being replaced in the automated system (regardless of the Summary Filing Action Request Code value), the returned E1-Record narrative text shall be: SUMMARY HAS BEEN REPLACED. The previously accepted Entry Summary is totally replaced with the Filer's latest submission in CBP's automated system.

Note: The final disposition text will not reflect a 'paperless' or 'paper required' assessment of the Entry Summary. A subsequent electronic message from CBP, however, shall inform the Filer as to how to proceed.

When **DELETE** (Summary Filing Action Request Code = **D**):

When **REJECTED**: fatal condition E1-Records will be returned. Census warning conditions are not generated for a delete action. However, Informational notice E1-Records may also be returned. All condition E1-Records will correspond to and follow one or more E0-Records that shall convey to the Filer/Transmitter which data grouping component in the submission has caused, or contributed to, that condition. The final disposition E1-Record narrative text is TRANSACTION DATA REJECTED. If the Entry Summary had previously been accepted, the Entry Summary is left intact.



When **ACCEPTED**: No condition E1-Records will be returned. The final disposition E1-Record narrative text is ENTRY SUMMARY DELETED. The Entry Summary is removed from CBP's automated system.



AE Table 1 – Check Digit Computation Formula

The check digit formula that follows is used for:

The Entry Number (and consists of two portions):

- *Filer Identifier* portion (using the *Entry Summary Header Control* record's Entry Filer Code). This value may be both alphabetic and numeric.
- *Sequence Number* portion (using positions 1-7 of the *Entry Summary Header Control* record's Entry Number). This value is required to be ALL numeric.

A Paperless In-Bond Number (and consists of two portions):

- *Filer Identifier* portion (using position 1-3 of the *Bill of Lading/In-Bond Detail* record's Manifest Component Identifier [when type 'I']). This value may be both alphabetic and numeric.
- *Sequence Number* portion (using positions 4-10 of the *Bill of Lading/In-Bond Detail* record's Manifest Component Identifier [when type 'I']). This value may be both alphabetic and numeric.

Step ONE – Derive a 'Numeric Base Value' by converting the EACH and EVERY alphabetic value in the alphabetic portion(s) of the 'number' to a numeric value using the following table:

A = 1	H = 8	O = 15	V = 22
B = 2	I = 9	P = 16	W = 23
C = 3	J = 10	Q = 17	X = 24
D = 4	K = 11	R = 18	Y = 25
E = 5	L = 12	S = 19	Z = 26
F = 6	M = 13	T = 20	
G = 7	N = 14	U = 21	

The result is a 10-position number:

1	2	3	4	5	6	7	8	9	10
N	N	N	N	N	N	N	N	N	N

Step TWO – Multiply positions 2, 4, 6, 8, and 10 of the 'Numeric Base Value' each by +2. For each, if the product (P) is greater than +9, add +1 to adjust the product (AP).

1	2	3	4	5	6	7	8	9	10
N	N	N	N	N	N	N	N	N	N
	x 2		x 2		x 2		x 2		x 2
	---		---		---		---		---
	P		P		P		P		P
	(+1)		(+1)		(+1)		(+1)		(+1)
	---		---		---		---		---
	AP		AP		AP		AP		AP

(only when value +9 or greater)



Step THREE - Disregarding the 'tens' positions for each product (or adjusted product), compute the 'Adjusted Even Sum Value' by adding the five products (or adjusted products) together.

1	2	3	4	5	6	7	8	9	10
N	N	N	N	N	N	N	N	N	N
	AP		AP		AP		AP		AP
	NN		NN		NN		NN		NN
	---		---		---		---		---
	N	+	N	+	N	+	N	+	N

= Adjusted Even Sum Value (NN)

Step FOUR – Compute the 'Odd Sum Value' by adding positions 1, 3, 5, 7, and 9 of the 'Numeric Base' together.

1	2	3	4	5	6	7	8	9	10
N	N	N	N	N	N	N	N	N	N
-		-		-		-		-	
N	+	N	+	N	+	N	+	N	

= Odd Sum Value (NN)

Step FIVE – Compute a 'Check Digit Base Value' by adding the 'Adjusted Even Sum Value' and the 'Odd Sum Value' together.

Adjusted Even Sum Value	NN	
+ Odd Sum Value	NN	
	--	
	NN	= Check Digit Base Value

Step SIX – Determine the 'Computed Check Digit' by subtracting the 'ones' position of the 'Check Digit Base Value' from +10.

	+10	
- Check Digit Base Value (ones position ONLY)	NN	
	--	
	N	= Computed Check Digit

The result is the check digit.



AE Table 2 – Entry Type Codes

Note: Only types '01' and '11' are supported at this time. Additional Entry Types shall be made available in the future.

Entry Type Code	Description
01	Consumption – Free & Dutiable
02	Consumption – Quota/Visa
03	Consumption – ADD/CVD
04	Appraisement
05	Vessel Repair
06	Foreign Trade Zone (FTZ)
07	Consumption – Quota/Visa & ADD/CVD
11	Informal – Free & Dutiable
12	Informal – Quota/Visa
21	Warehouse
22	Re-warehouse
23	Temporary Importation under Bond (TIB)
31	Warehouse Withdrawal – Consumption
32	Warehouse Withdrawal – Quota
34	Warehouse Withdrawal – ADD/CVD
38	Warehouse Withdrawal – Quota & ADD/CVD
51	Defense Contract Administration Service Region (DCASR)
52	Government - Dutiable

AE Table 3 – Mode of Transportation Codes

MOT Code	Description
10	Vessel, Non-Containerized
11	Vessel, Containerized
12	Border Water Borne
20	Rail, Non-Containerized
21	Rail, Containerized
30	Truck, Non-Containerized
31	Truck, Containerized
32	Auto
33	Pedestrian
34	Road, Other
40	Air, Non-Containerized
41	Air, Containerized
50	Mail
60	Passenger, Hand Carried
70	Fixed Transport



AE Table 4 – Surety Waiver Codes.

Table 4a - Codes **Required** When Bond Type Code = **0** (No Bond Required)

Surety 'Waiver' Code	Description
995	Supplemental Duty Bills on Vessel Repair Entries
996	Fines, Penalty, or Liquidated Damage Bills
997	All Other Contingent Bills
998	Bills Secured – Other than Surety
999	No Surety, Unsecured Bills

Table 4b - Codes **NOT Allowed** When Bond Type Code = **9** (Single Entry Bond)

Surety 'Waiver' Code	Description
990	Bills Exceeding Paid Off Bond
991	Bills Secured by Multiple Sureties
992	Statute of Limitations Expired
995	Supplemental Duty Bills on Vessel Repair Entries
996	Fines, Penalty, or Liquidated Damage Bills
997	All Other Contingent Bills
999	No Surety, Unsecured Bills

AE Table 5 – Canadian Province Codes.

Table 5a – Conventional Canadian Province Codes

Code	Province
XA	Alberta
XB	New Brunswick
XC	British Columbia
XM	Manitoba
XN	Nova Scotia
XO	Ontario
XP	Prince Edward Island
XQ	Quebec
XS	Saskatchewan
XT	Northwest Territories
XV	Nunavut
XW	Newfoundland & Labrador
XY	Yukon

Table 5b – Extended British Columbia Province Codes

Code	Province
XD	British Columbia (Coastal)
XE	British Columbia (Interior)

**AE Table 6 – User Fee Accounting Class Codes.**

User Fee Accounting Class Code	Description
34-Record 'Header'	
311	Informal Merchandise Processing Fee
496	Dutiable Mail Fee
500	Manual Entry Surcharge
62-Record 'Line'	
053	Beef Fee
054	Pork Fee
055	Honey Fee
056	Cotton Fee
057	Pecan Fee
079	Sugar Fee
090	Potato Fee
102	Lime Fee
103	Mushroom Fee
104	Watermelon Fee
105	Sheep Fee
106	Blueberry Fee
107	Avocado Fee
108	Mango Fee
499	Formal Merchandise Processing Fee
501	Harbor Maintenance Fee

AE Table 7 – Missing Document Codes.

Missing Document Code	Description
01	Commercial Invoice
10	CBPF 5523 (19 CFR 141.89)
11	CBPF 3291
14	Lease Statement (19 CFR 10.108(b))
15	Re-melting Certificate (19 CFR 54.6(a))
16	Corrected Commercial Invoice (19 CFR 141.89 et al)
17	Other Agency Forms (19 CFR Part 12)
18	Duty Free Entry Certificate (19 CFR 10.102); HTS 9808.00.30009
19	Scale Weight (19 CFR 151, Subpart B)
20	End Use Certificate (19 CFR 10.138)
21	Form O (Coffee)
22	Chemical Analysis
23	Out Turn Report (19 CFR 151, Sub part C)
26	Packing List (19 CFR 141.86(e))
98	Other document (not listed)



Missing Document Code	Description
99	More than two documents listed.

AE Table 8 – Trade Agreement / Special Program Claim Codes.

Trade Agreement / Special Program Claim Code	Description
A	Generalized System of Preferences (GSP).
AU	Australia Free Trade Agreement.
B	Automotive Product Trade Act.
B#	Automotive Product Trade Act + NAFTA MPF Exemption.
BH	Bahrain Free Trade Agreement.
C	Agreement on Trade in Civil Aircraft.
C#	Agreement on Trade in Civil Aircraft + NAFTA MPF Exemption.
CA	North American Free Trade Agreement (NAFTA) – Canada.
CL	Chile Free Trade Act.
D	African Growth and Opportunity Act (AGOA).
E	Caribbean Basin Economic Recovery Act (CBERA); also known as the Caribbean Basin Initiative (CBI).
IL	U.S. - Israel Free Trade Area Agreement.
J	Andean Trade Preference Act (ATPA).
J+	Andean Trade Promotion and Drug Eradication Act (ATPDEA).
JO	Jordan Free Trade Agreement
K	Agreement on Trade in Pharmaceutical Products.
K#	Agreement on Trade in Pharmaceutical Products + NAFTA MPF Exemption.
L	Uruguay Round Concessions on Intermediate Chemicals for Dyes.
L#	Uruguay Round concessions on Intermediate Chemicals for Dyes + NAFTA MPF Exemption.
MA	Morocco Free Trade Agreement.
MX	North American Free Trade Agreement (NAFTA) – Mexico.
N	A product of the West Bank, Gaza Strip (GZ), a qualifying industrial zone (Jordan), or Israel (Israel) under the U.S. - Israel Free Trade Area Agreement.
P	Originating Central American Free Trade Agreement (CAFTA).
P+	Qualifying Central American Free Trade Agreement (CAFTA).
R	Caribbean Basin Trade Partnership Act (CBTPA).
SG	Singapore Free Trade Act.
W	Products of Puerto Rico advanced in value or improved in condition in a CBERA country.
Y	U.S. Insular Possession.
Z	Freely Associated States.





AE Table 9 – Exception Case Articles (Categorized).

When the article includes HTS:	
Article Category - Duty Unconditionally Accepted	
0901.12.0000	<i>Coffee - 0901.12.0000 (when imported into a Puerto Rican port ONLY)</i>
Article Category - Special Classification Provision	
98	<i>Special Classification Provision – Chapter 98</i>
Article Category - No Informal Value Limit	
9801.00.50bb	<i>Temporary Exhibit (Menagerie) - 9801.00.50bb</i>
9801.00.60bb	<i>Temporary Exhibit (Fair or Conference) - 9801.00.60bb</i>
Article Category - Not MPF Exempt	
9802.00.60	<i>US Metal Returned for Further Processing - 9802.00.60</i>
9802.00.80	<i>Article Eligible for Miscellaneous Programs - 9802.00.80</i>
Article Category - Unconditionally Duty Free	
9808.00.3000	<i>Emergency War Material - 9808.00.3000</i>
9817.00.9060	<i>Re-Laying, Re-Rolling Rails - 9817.00.9060</i>
Article Category - \$10,000 Informal Value Limit	
9801.00.10	<i>US Goods Returned - 9801.00.10</i>
Article Category – Required on TIB Entry	
9813.00.05, 9813.00.10, 9813.00.15 9813.00.20 9813.00.25, 9813.00.30, 9813.00.35 9813.00.40 9813.00.45, 9813.00.50, 9813.00.55 9813.00.60 9813.00.65, 9813.00.70, 9813.00.75	<i>Various Articles Under Bond - 9813.00.nn</i>
Article Category – 6-Month TIB Restriction	
9813.00.75	<i>Article Under 6-Month Bond - 9813.00.75</i>

AE Table 10 – Census Condition Override Codes.

Override Code	Description
01	Exception to Embargo
02	Timing of Embargo
03	Country Verified as Correct
04	Prototype
05	Sample
06	Assist



Override Code	Description
07	One-of-a-kind
08	Precision Made
09	Unique Material
10	Experimental Drug
11	Military Application
12	Mass Produced
13	Less Than Perfect
14	Lower Quality Material
15	Market Conditions
16	Special Handling Required
17	Chartered Transportation
18	Insurance Costs Very High
19	Rush Delivery
20	Weight of Article Heavier Than Normal
21	Weight of Article Lighter Than Normal
22	Packaging Heavier Than Normal
23	Packaging Lighter Than Normal
24	Non-product Line Item Needed to Conduct Business
25	Beginning to Import New Product Line
26	Country of Export Verified as Correct
27	FTZ Withdrawal Low Foreign Value
49	Parameter Change Request Pending
50	Correct as Entered
51	Entered Under Special Conditions

AE Table 11 – Census Warning Condition / Census Override Combinations.

Census Warning Condition Code	Returned Narrative Text	Acceptable Census Condition Override Code(s)
27A	*CENSUS* IMPROBABLE COUNTRY	01, 02, 03, 49, 50
27P	*CENSUS* MAXIMUM VALUE EXCEEDED	51
27B	*CENSUS* QTY1/QTY2	09, 20, 21, 49, 50
27M	*CENSUS* QTY2/QTY1	09, 20, 21, 49, 50
27D	*CENSUS* OR-HI VAL/QTY (1)	04, 05, 06, 07, 08, 09, 10, 11, 15, 21, 49, 50
27F	*CENSUS* OR-HI VAL/QTY (2)	04, 05, 06, 07, 08, 09, 10, 11, 15, 21, 49, 50
27C	*CENSUS* OR-LO VAL/QTY (1)	09, 12, 13, 14, 15, 20, 27, 49, 50
28E	*CENSUS* OR-LO VAL/QTY (2)	09, 12, 13, 14, 15, 20, 27, 49, 50
27G	*CENSUS* IMPROBABLE AIR TARIFF	05, 49, 50
27I	*CENSUS* GROSS WEIGHT - VESSEL	20, 22, 49, 50
27H	*CENSUS* GROSS WEIGHT -AIR	51
27Q	*CENSUS* MAXIMUM CHARGES EXCEEDED	51
27J	*CENSUS* OR-AGR CHARGES/VALUE	05, 12, 13, 14, 15, 16, 17, 18, 19, 20, 22, 49, 50

**AE Table 12 – FCC Import Condition Codes.**

FCC Import Condition Code	Description
01	The radio frequency device has been issued an equipment authorization by the FCC.
02	The radio frequency device is not required to have an equipment authorization and the device complies with FCC technical administrative regulations.
03	The radio frequency device is being imported in limited quantities for testing and evaluation to determine compliance with the FCC Rules and Regulations or suitability for marketing. The device will not be offered for sale or marketed. The phrase "limited quantities" means 200 or fewer units. Prior to importation of more than 200 units, written approval must be obtained from the Chief, Enforcement Division, Field Operations Bureau, FCC. Distinctly different models of a product and separate generations of a particular model under development are considered to be separate devices.
04	The radio frequency device is being imported in limited quantities for demonstration at industry trade shows and the device will not be offered for sale or marketed. The phrase "limited quantities" means ten or fewer units. Prior to importation of more than ten units, written approval must be obtained from the Chief, Enforcement Division, Field Operations Bureau, FCC. Distinctly different models of a product and separate generations of a particular model under development are considered to be separate devices.
05	The radio frequency device is being imported solely for export. The device will not be marketed or offered for sale for use in the U.S.
5A	The described equipment is a non-U.S. standard cellular phone than can only function outside of the U.S.
06	The radio frequency device is being imported for use exclusively by the U.S. Government.
07	Three or fewer radio receivers, computers, or other unintentional radiators are being imported for the individual's personal use and are not intended for sale.
08	The radio frequency device is being imported for repair and will not be offered for sale or marketed.